BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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In the Matter of Charges and Complaint Against:

WARREN LESLIE SMITH, M.D.,

Respondent.

Case No. 24-5889-1

FILED

APR 2 3 2024

NEVADA STATE BOARD OF MEDICAL EXAMINERS

COMPLAINT

The Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board), by and through William P. Shogren, Deputy General Counsel and attorney for the IC, having a reasonable basis to believe that Warren Leslie Smith, M.D. (Respondent) violated the provisions of Nevada Revised Statutes (NRS) Chapter 630 and Nevada Administrative Code (NAC) Chapter 630 (collectively, the Medical Practice Act), hereby issues its Complaint, stating the IC's charges and allegations as follows:

- Respondent was at all times relative to this Complaint a medical doctor holding an 1. active license to practice medicine in the State of Nevada (License No. 3978). Respondent was originally licensed by the Board on March 29, 1980.
 - Patient A² was a fifty-nine (59) year-old male at the time of the events at issue. 2.
- Patient A first presented to a physician assistant on February 10, 2017. Patient A's 3. chief complaints were fatigue, cough, chest discomfort, nasal congestion, sinus pain, and sore throat. The physician assistant diagnosed Patient A with acute sinusitis unspecified, bronchitis unspecified, and a cough. The physician assistant prescribed an antibiotic to treat the sinusitis and a corticosteroid (hereinafter, "steroid" or "steroids") to treat the bronchitis.

¹ The Investigative Committee of the Nevada State Board of Medical Examiners, at the time this formal Complaint was authorized for filing, was composed of Board members Bret W. Frey, M.D, Chowdhury H. Ahsan, M.D., Ph.D., FACC, and Col. Eric D. Wade, USAF (Ret.).

² Patient A's true identity is not disclosed herein to protect his privacy, but is disclosed in the Patient Designation served upon Respondent along with a copy of this Complaint.

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- Between February 10, 2017, and March 21, 2017, Patient A presented to, or 4. communicated with, the physician assistant at least five (5) times, but experienced no improvement in his symptoms. Despite Patient A's persistent symptoms, the physician assistant continued to prescribe antibiotics to him. During this time, the physician assistant also obtained a negative chest x-ray of Patient A.
- 5. Patient A first presented to Respondent on April 24, 2017, with the same or similar symptoms. Respondent's notes indicated a history of upper respiratory symptoms for three (3) months. Respondent also administered a steroid to treat Patient A's unspecified upper respiratory infection, and ordered another chest x-ray which showed no changes.
- During this visit, Respondent also noted, "follow up 10 days if not improved -6. TGA (Reason: pulmo referral)," but there is no indication in Respondent's notes that he sought consultation with a specialty provider for a pulmonary evaluation.
- 7. Patient A presented again to Respondent on May 4, 2017, with the same or similar symptoms. Respondent again prescribed a steroid to Patient A. Respondent also recommended that Patient A return to the clinic for an ear, nose, and throat (ENT) referral if Patient A's medication was ineffective.
- Patent A presented again to Respondent on May 31, 2017, with chills, upper 8. respiratory illness symptoms, daily fevers, night sweats, and a twenty (20) pound weight loss since February 2017. Respondent also noted abnormal vital signs, including an elevated pulse and decreased blood pressure of Patient A. Respondent ordered an additional medical evaluation with laboratory studies and a CT scan of the sinuses. However, there is no documentation of a formal referral for ENT care nor a pulmonary evaluation.
- Respondent saw Patient A again on June 7, 2017, with the same or similar chief 9. Respondent reviewed the laboratory studies and CT scan, which was negative. complaints. Patient A's laboratory studies were abnormal, including a significant elevation in sedimentation and low red blood cells including decreased hemoglobin. Patient A also demonstrated abnormal vital signs, including an elevated pulse and decreased blood pressure. On this date, Respondent 111

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recommended additional labs for coccidiosis serology, administered an intramuscular triamcinolone injection, and again prescribed a steroid.

- Patient A presented to Respondent on June 14, 2017, and Respondent noted that 10. Patient A had been symptomatic for five (5) months and ordered Patient A to follow-up when his laboratory results were available.
- The final note in Patient A's medical record from Respondent is a refill of 11. Patient A's steroid prescription on June 23, 2017.
- Between February 10, 2017, and June 14, 2017, Patient A presented to Respondent, 12. or a physician assistant under Respondent's supervision, with persistent symptoms and an unclear diagnosis.
- Between April 24, 2017, and June 23, 2017, Respondent prescribed steroids to 13. Patient A without a clear indication. Repeated prescribing of steroids without a clear indication is typically not recommended and may increase the likelihood of adverse reactions and complications, such as infections.
- Patient A presented for emergency care on June 25, 2017, and was subsequently 14. evaluated at a hospital, including an infectious disease consultation, and was diagnosed with endocarditis (inflammation of the inner lining of the heart's chambers and valves).
- Patient A passed away on September 29, 2017, as a result of complications from 15. refractory septic shock, fungemia (fungal infection of the blood), and endocarditis.

COUNT I

NRS 630.301(4) - Malpractice

- All of the allegations contained in the above paragraphs are hereby incorporated by 16. reference as though fully set forth herein.
- NRS 630.301(4) provides that malpractice of a physician is grounds for initiating 17. disciplinary action against a licensee.
- NAC 630,040 defines malpractice as "the failure of a physician, in treating a 18. patient, to use the reasonable care, skill, or knowledge ordinarily used under similar circumstances."

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- 20. As demonstrated by, but not limited to, the above-outlined facts, Respondent failed to use the reasonable care, skill or knowledge ordinarily used under similar circumstances when rendering medical services to Patient A, by (1) repeatedly prescribing steroids to Patient A without a definitive diagnosis or clear indication; and (2) by failing to timely respond to Patient A's abnormal laboratory studies and vital signs, including the failure to provide an appropriate referral to an appropriate health care provider, such as an ENT or pulmonary specialist.
- 21. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

COUNT II

NRS 630.306(1)(b)(2) - Violation of Standards of Practice Established by Regulation

- 22. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 23. Violation of a standard of practice adopted by the Board is grounds for disciplinary action pursuant to NRS 630.306(1)(b)(2).
- 24. NAC 630.210 requires a physician to "seek consultation with another provider of health care in doubtful or difficult cases whenever it appears that consultation may enhance the quality of medical services."
- 25. Respondent failed to timely seek consultation with another provider of health care, such as an ENT physician or pulmonary specialist, from on or about May 4, 2017, through June 14, 2017, to address the doubtfulness of the diagnosis of Patient A's medical condition. Such a timely consultation may have enhanced the quality of medical care provided to Patient A, including an earlier diagnosis of Patient A's fungal infection.
- 26. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

COUNT III

NRS 630.306(1)(g) - Continual Failure to Exercise Skill or Diligence

27. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though full set forth herein.

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28.	Continual failure by the Respondent to exercise the skill or diligence or use the
methods ord	inarily exercised under the same circumstances by physicians in good standing
practicing in	the same specialty or field is grounds for disciplinary action against a licensee
pursuant to N	IRS 630.306(1)(g).

- 29. Respondent continually failed to exercise skill or diligence as demonstrated by his repeated prescribing or administering of steroids to Patient A, between on or about April 24, 2017, through June 7, 2017, without knowing the cause of Patient A's symptoms.
- 30. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

WHEREFORE, the Investigative Committee prays:

- 1. That the Board give Respondent notice of the charges herein against him and give notice that he may file an answer to the Complaint herein as set forth in NRS 630.339(2) within twenty (20) days of service of the Complaint;
- 2. That the Board set a time and place for a formal hearing after holding an Early Case Conference pursuant to NRS 630.339(3);
- 3. That the Board determine what sanctions to impose if it determines there has been a violation or violations of the Medical Practice Act committed by Respondent;
- 4. That the Board award fees and costs for the investigation and prosecution of this case as outlined in NRS 622.400;
- 5. That the Board make, issue and serve on Respondent its findings of fact, conclusions of law and order, in writing, that includes the sanctions imposed; and

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6. That the Board take such other and further action as may be just and proper in these premises.

DATED this **Z3** day of April, 2024.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

WILLIAM P. SHOGREN Deputy General Counsel 9600 Gateway Drive

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Email: shogrenw@medboard.nv.gov
Attorney for the Investigative Committee

OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

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VERIFICATION

STATE OF NEVADA)
	: ss.
COUNTY OF WASHOE)

Bret W. Frey, M.D., having been duly sworn, hereby deposes and states under penalty of perjury that he is the Chairman of the Investigative Committee of the Nevada State Board of Medical Examiners that authorized the Complaint against the Respondent herein; that he has read the foregoing Complaint; and that based upon information discovered in the course of the investigation into a complaint against Respondent, he believes that the allegations and charges in the foregoing Complaint against Respondent are true, accurate and correct.

DATED this 23rd day of April, 2024.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

BRET W. PKEY, M.D

Chairma of the Investigative Committee