BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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In the Matter of Charges and Complaint

6 | Against:

STEPHEN PAUL DUBIN, M.D.,

Respondent.

Case No. 23-11289-1 FILED

OCT 3 1 2023

NEVADA STATE BOARD OF MEDICAL EXAMINERS By:

COMPLAINT

The Investigative Committee¹ (IC) of the Nevada State Board of Medical Examiners (Board), by and through William P. Shogren, Deputy General Counsel and attorney for the IC, having a reasonable basis to believe that Stephen Paul Dubin, M.D. (Respondent) violated the provisions of Nevada Revised Statutes (NRS) Chapter 630 and Nevada Administrative Code (NAC) Chapter 630 (collectively, the Medical Practice Act), hereby issues its Complaint, stating the IC's charges and allegations as follows:

- 1. Respondent was at all times relative to this Complaint a medical doctor holding an active license to practice medicine in the State of Nevada (License No. 13772). Respondent was originally licensed by the Board on December 3, 2010.
- 2. The true identities of Patients A and B are not disclosed herein to protect their individual privacy, but their identities are disclosed in the Patient Designation served upon Respondent along with a copy of this Complaint.

PATIENT A

- 3. Patient A was a fifty-three (53) year-old female at the onset of the events at issue.
- 4. Respondent assumed care of Patient A in April 2017 from a previous physician. Respondent continued to prescribe multiple controlled substances to Patient A, including

¹ The Investigative Committee of the Nevada State Board of Medical Examiners, at the time this formal Complaint was authorized for filing, was composed of Board members Victor M. Muro, M.D., Chowdhury H. Ahsan, M.D., Ph.D, FACC and Ms. Pamela J. Beal.

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oxycodone, alprazolam, amphetamine-dexamphetamine, and zolpidem, from 2017 to 2021. Patient A's health problems included chronic back and other musculoskeletal pain, attentiondeficit/hyperactivity disorder, and depression.

- During Respondent's care of Patient A, Respondent prescribed controlled 5. substances to Patient A over several intervals greater than ninety (90) days without accessing the Nevada State Pharmacy Board's Prescription Monitoring Program (PMP).
 - Respondent has had an active PMP account since on or about December 19, 2013. 6.
- Respondent prescribed alprazolam to Patient A between 2017 and 2021. 7. Alprazolam is typically used to treat acute anxiety and panic disorders. However, Respondent's notes regarding Patient A only make one reference to anxiety, on June 30, 2021. There are multiple notes including alprazolam as treatment of patient's back pain. Respondent's notes do not document the reasoning for continued prescription of alprazolam for back pain.
- Additionally, there are notes from October 19, 2019, stating that Patient A required 8. opiate therapy, but Respondent's notes regarding Patient A do not contain consistent metrics such as level of pain or activities of daily living (AODL).
- Respondent's notes list the prescription of azithromycin, medrol, bactrim, and 9. silvadene over several months without any documentation as to why and for how long they are being prescribed.

COUNT I

NRS 630.306(1)(b)(3) - Violation of Statutes and Regulations of the Nevada State **Board of Pharmacy**

- All of the allegations contained in the above paragraphs are hereby incorporated by 10. reference as though fully set forth herein.
- Respondent is a practitioner as defined by NRS 639.0125(1), as a physician who 11. holds a license to practice medicine in the State of Nevada.
- NRS 630.306(1)(b)(3) provides that engaging in conduct that violates a provision 12. of Chapter 639 of NRS, or a regulation adopted by the Pharmacy Board pursuant thereto, that is 111

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applicable to a licensee who is a practitioner, as defined in NRS 639.0125, is grounds for initiating discipline against a licensee.

13. NRS 639.23507(1) provides:

Except as otherwise provided in subsection 2, a practitioner, other than a veterinarian, shall, before issuing an initial prescription for a controlled substance listed in schedule II, III or IV or an opioid that is a controlled substance listed in schedule V and at least once every 90 days thereafter for the duration of the course of treatment using the controlled substance, obtain a patient utilization report regarding the patient from the computerized program established by the Board and the Investigation Division of the Department of Public Safety pursuant to NRS 453.162. The practitioner shall:

(a) Review the patient utilization report; and

- (b) Determine whether the patient has been issued another prescription for the same controlled substance that provides for ongoing treatment using the controlled substance. If the practitioner determines from the patient utilization report or from any other source that the patient has been issued such a prescription, the practitioner shall not prescribe the controlled substance unless the practitioner determines that issuing the prescription is medically necessary.
- Respondent violated NRS 639.23507(1) by failing to obtain or review the patient 14. utilization report for Patient A every ninety (90) days during the course of Patient A's treatment using various controlled substances, such as oxycodone.
- By reason of the foregoing, Respondent is subject to discipline by the Board as 15. provided in NRS 630.352.

COUNT II

NRS 630.3062(1)(a) - Failure to Maintain Proper Medical Records

- All of the allegations contained in the above paragraphs are hereby incorporated by 16. reference as though fully set forth herein.
- NRS 630.3062(1)(a) provides that the "failure to maintain timely, legible, accurate 17. and complete medical records relating to the diagnosis, treatment and care of a patient" constitute grounds for initiating discipline against a licensee.
- Respondent failed to maintain accurate and complete medical records relating to 18. the diagnosis, treatment and care of Patient A, by failing to correctly document his actions when he treated Patient A. Respondent maintained inaccurate and incomplete records of Patient A when he failed to document the reasoning for prescribing alprazolam for back pain, failed to document

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consistent metrics for Patient A's opiate therapy, and failed to document the reasoning for prescribing azithromycin, medrol, bactrim, and silvadene over several months.

By reason of the foregoing, Respondent is subject to discipline by the Board as 19. provided in NRS 630.352.

PATIENT B

- Patient B was a twenty-six (26) year-old male at the onset of the events at issue. 20.
- Respondent assumed care of Patient B on or about January 16, 2014. 21.
- Upon assuming care of Patient B, Respondent prescribed to Patient B alprazolam 22. for chronic anxiety and opioids, mainly consisting of oxycodone, for chronic back and musculoskeletal pain. Respondent prescribed oxycodone to Patient B up until April 2018.
- Respondent obtained multiple urine drug screens from Patient B, taken between 23. February 2015 and August 2016, which tested positive for opiates that were not being prescribed by Respondent, including 6-MAM, a heroin metabolite, as well as morphine, codeine hydrocodone, and THC.
- Heroin is an inherently dangerous street drug, and its use is indicative of substance 24. use disorder.
- Respondent's notes and records do not document that Respondent spoke with 25. Patient B regarding the positive tests for non-prescribed opiates, including 6-MAM, or Patient B's apparent use of heroin.
- Respondent's notes and records further do not document any discussion with 26. Patient B regarding goals of treatment for pain or substance use disorder.
- During treatment of Patient B, Respondent prescribed controlled substances to 27. Patient B over several intervals greater than ninety (90) days without accessing the Nevada PMP.

COUNT III

NRS 630.301(4) - Malpractice

All of the allegations contained in the above paragraphs are hereby incorporated by 28. reference as though fully set forth herein.

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- NRS 630.301(4) provides that malpractice of a Physician is grounds for initiating 29. disciplinary action against a licensee.
- NAC 630.040 defines malpractice as "the failure of a physician, in treating a 30. patient, to use the reasonable care, skill, or knowledge ordinarily used under similar circumstances."
- As demonstrated by, but not limited to, the above-outlined facts, Respondent failed 31. to use the reasonable care, skill or knowledge ordinarily used under similar circumstances when rendering medical services to Patient B, by failing to document any conversation with Patient B regarding his apparent heroin usage, and by failing to develop a treatment plan with Patient B, including but not limited to medication assisted treatment, referrals, therapy, or other approaches to mitigate the danger of using heroin. Respondent also continued to prescribe opiates to Patient B for almost two years after Patient B tested positive for heroin metabolites, without documenting any sort of treatment plan with Patient B.
- By reason of the foregoing, Respondent is subject to discipline by the Board as 32. provided in NRS 630.352.

COUNT IV

NRS 630.306(1)(b)(2) - Violation of Standards of Practice

- All of the allegations in the above paragraphs are hereby incorporated by reference 33. as though fully set forth herein.
- Violation of a standard of practice adopted by the Board is grounds for disciplinary 34. action pursuant to NRS 630.306(1)(b)(2).
 - The Board adopted by reference the Model Policy in NAC 630.187. 35.
- Pursuant to NAC 630.230(1)(k), a licensee shall not engage in the practice of 36. writing prescriptions for controlled substances to treat acute pain or chronic pain in a manner that deviates from the standards set forth in the Model Policy.
- As demonstrated by, but not limited to, the above-outlined facts, Respondent wrote 37. prescriptions to Patients B for opioid analgesics to treat chronic pain in a manner that deviated from the Model Policy when he continued to prescribe oxycodone after Patient B evidenced a

substance abuse disorder and did not address a treatment plan with Patient B to mitigate Patient B's apparent heroin use.

38. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

COUNT V

NRS 630.306(1)(b)(3) – Violation of Statutes and Regulations of the Nevada State Board of Pharmacy

- 39. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 40. Respondent is a practitioner as defined by NRS 639.0125(1), as a physician who holds a license to practice medicine in the State of Nevada.
- 41. NRS 630.306(1)(b)(3) provides that engaging in conduct that violates a provision of Chapter 639 of NRS, or a regulation adopted by the Pharmacy Board pursuant thereto, that is applicable to a licensee who is a practitioner, as defined in NRS 639.0125, is grounds for initiating discipline against a licensee.

42. NRS 639.23507(1) provides:

Except as otherwise provided in subsection 2, a practitioner, other than a veterinarian, shall, before issuing an initial prescription for a controlled substance listed in schedule II, III or IV or an opioid that is a controlled substance listed in schedule V and at least once every 90 days thereafter for the duration of the course of treatment using the controlled substance, obtain a patient utilization report regarding the patient from the computerized program established by the Board and the Investigation Division of the Department of Public Safety pursuant to NRS 453.162. The practitioner shall:

(a) Review the patient utilization report; and

- (b) Determine whether the patient has been issued another prescription for the same controlled substance that provides for ongoing treatment using the controlled substance. If the practitioner determines from the patient utilization report or from any other source that the patient has been issued such a prescription, the practitioner shall not prescribe the controlled substance unless the practitioner determines that issuing the prescription is medically necessary.
- 43. Respondent violated NRS 639.23507(1) by failing to obtain or review a patient utilization report for Patient B every ninety (90) days, including between January 1, 2018 and April 1, 2018, while prescribing to Patient B various controlled substances, such as oxycodone.

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44. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

COUNT VI

NRS 630.3062(1)(a) - Failure to Maintain Proper Medical Records

- 45. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 46. NRS 630.3062(1)(a) provides that the "failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient" constitute grounds for initiating discipline against a licensee.
- 47. Respondent failed to maintain accurate and complete medical records relating to the diagnosis, treatment and care of Patient A, by failing to correctly document his actions when he treated Patient A. Respondent did not document in his notes any conversation with Patient B regarding his apparent heroin usage, and did not document any sort of treatment plan with Patient B, including but not limited to medication assisted treatment, referrals, therapy, or other approaches to mitigate the danger of using heroin.
- 48. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

WHEREFORE, the Investigative Committee prays:

- 1. That the Board give Respondent notice of the charges herein against him and give him notice that he may file an answer to the Complaint herein as set forth in NRS 630.339(2) within twenty (20) days of service of the Complaint;
- 2. That the Board set a time and place for a formal hearing after holding an Early Case Conference pursuant to NRS 630.339(3);
- 3. That the Board determine what sanctions to impose if it determines there has been a violation or violations of the Medical Practice Act committed by Respondent;
- 4. That the Board award fees and costs for the investigation and prosecution of this case as outlined in NRS 622.400;

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OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

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- 5. That the Board make, issue and serve on Respondent its findings of fact, conclusions of law and order, in writing, that includes the sanctions imposed; and
- 6. That the Board take such other and further action as may be just and proper in these premises.

DATED this 31st day of October, 2023.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

WILLIAM P. SHOGREN

Deputy General Counsel 9600 Gateway Drive

Reno, NV 89521

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Email: shogrenw@medboard.nv.gov
Attorney for the Investigative Committee

OFFICE OF THE GENERAL COUNSEL

Nevada State Board of Medical Examiners

VERIFICATION

STATE OF NEVADA)
	: SS
COUNTY OF WASHOE) 17

Chowdury H. Ahsan, M.D., Ph.D., FACC, having been duly sworn, hereby deposes and states under penalty of perjury that he is the Chairman of the Investigative Committee of the Nevada State Board of Medical Examiners that authorized the Complaint against the Respondent herein; that he has read the foregoing Complaint; and that based upon information discovered in the course of the investigation into a complaint against Respondent, he believes that the allegations and charges in the foregoing Complaint against Respondent are true, accurate and correct.

DATED this 31st day of October, 2023.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

CHOWDURY H. AHSAN, M.D., PH.D., FACC Chairman of the Investigative Committee