BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

* * * * *

In the Matter of Charges and Complaint

Against:

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HAI TU DUONG, M.D.,

Respondent.

Case No. 23-51850-1

FILED

JUN 28 2023

NEVADA STATE BOARD OF EXAMINERS

COMPLAINT

The Investigative Committee¹ (IC) of the Nevada State Board of Medical Examiners (Board), by and through Donald K. White, Senior Deputy General Counsel and attorney for the IC. having a reasonable basis to believe that Hai Tu Duong, M.D. (Respondent) violated the provisions of Nevada Revised Statutes (NRS) Chapter 630 and Nevada Administrative Code (NAC) Chapter 630 (collectively, the Medical Practice Act), hereby issues its Complaint, stating the IC's charges and allegations as follows:

- Respondent was at all times relative to this Complaint a medical doctor holding an 1. active license to practice medicine in the State of Nevada (License No. 19875). Respondent was originally licensed by the Board on May 20, 2020.
- On April 1, 2020, the Medical Board of California, Department of Consumer 2. Affairs of the State of California (California Medical Board) filed an Accusation in Case No. 800-2018-041842, accusing Respondent of Gross Negligence (Cause II), Repeated Negligent Acts (Cause III), and General Unprofessional Conduct (Cause IV). See Exhibit 1.
- On January 21, 2021, Respondent entered into a Stipulated Settlement and 3. Disciplinary Order revoking Respondents license, with the revocation stayed and Respondent placed on probation for thirty-five (35) months. See Exhibit 3.

¹ The Investigative Committee of the Nevada State Board of Medical Examiners, at the time this formal Complaint was authorized for filing, was composed of Board members Bret W. Frey, M.D., Carl N. Williams, Jr., M.D., FACS, and Col. Eric D. Wade, USAF (Ret.).

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The Decision and Order of the California Medical Board became effective on 4. July 2, 2021, at 5:00 p.m. See Exhibit 2.

COUNT I

NRS 630.301(3) - Disciplinary Action by Another Licensing Board

- 5. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- NRS 630.301(3) provides that any disciplinary action, including, without 6. limitation, the revocation, suspension, modification or limitation of a license to practice any type of medicine, taken by another state ... or the surrender of the license or discontinuing the practice of medicine while under investigation by any licensing authority is grounds for initiating disciplinary action or denying licensure.
- Respondent was disciplined on July 2, 2021, when the California Medical Board 7. gave Respondent a stayed revocation, resulting in probation for thirty-five (35) months.
- 8. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

WHEREFORE, the Investigative Committee prays:

- That the Board give Respondent notice of the charges herein against him and give 1. him notice that he may file an answer to the Complaint herein as set forth in NRS 630.339(2) within twenty (20) days of service of the Complaint;
- 2. That the Board set a time and place for a formal hearing after holding an Early Case Conference pursuant to NRS 630.339(3);
- That the Board determine what sanctions to impose if it determines there has been 3. a violation or violations of the Medical Practice Act committed by Respondent;
- That the Board award fees and costs for the investigation and prosecution of this 4. case as outlined in NRS 622.400;
- That the Board make, issue and serve on Respondent its findings of fact, 5. conclusions of law and order, in writing, that includes the sanctions imposed; and

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OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

6. That the Board take such other and further action as may be just and proper in these premises.

DATED this day of June, 2023.

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

DONALD K. WHITE

Senior Deputy General Counsel

9600 Gateway Drive

Reno, NV 89521 Tel: (775) 688-2559

Email: dwhite@medboard.nv.gov

Attorney for the Investigative Committee

OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

VERIFICATION

the foregoing Complaint; and that based upon information discovered in the course of the

investigation into a complaint against Respondent, he believes that the allegations and charges in

VERIFICATION		
STATE OF NEVADA)	
COUNTY OF WASHOE	: ss.)	
Bret W. Frey, M.D.,	having been duly sworn, hereby deposes and states under penalty of	
perjury that he is the Chair	rman of the Investigative Committee of the Nevada State Board of	
Medical Examiners that auth	norized the Complaint against the Respondent herein; that he has read	

the foregoing Complaint against Respondent are true, accurate and correct. DATED this day of June, 2023.

> INVESTIGATIVE COMMITTEE OF THE STATE BOARD OF MEDICAL EXAMINERS

By:

FREY, M.D.

Chairman of the Investigative Committee

EXHIBIT 1

EXHIBIT 1

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1	XAVIER BECERRA		
2	Attorney General of California STEVEN D. MUNI		
3	Supervising Deputy Attorney General MEGAN R. O'CARROLL		
4	Deputy Attorney General State Bar No. 215479		
	1300 Street, Suite 125		
5	P.O. Box 944255 Sacramento, CA 94244-2550		
6	Telephone: (916) 210-7543 Facsimile: (916) 327-2247		
7	Attorneys for Complainant		
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10	BEFORE THE MEDICAL BOARD OF CALIFORNIA		
11	DEPARTMENT OF C	onsumer affairs	
12	STATE OF C	CHIE AINTU	
13			
	in the Matter of the Accusation Against:	Casc No. 800-2018-041842	
14	HAI TU DUONG, M.D. 3321 M St.	ACCUSATION	
15	3321 M St. Merced, CA 95348		
16	Physician's and Surgeon's Certificate		
17	No. A 103786,		
18	Respondent.		
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21	<u>PARTIES</u>		
22	1. Christine J. Lally (Complainant) brings this Accusation solely in her official capacity		
23	as the Interim Executive Director of the Medical	Board of California, Department of Consumer	
24	Affairs (Board).		
25	2. On or about May 7, 2008, the Medica	al Board issued Physician's and Surgeon's	
26	Certificate Number A 103786 to Hai Tu Duong, M.D. (Respondent). The Physician's and		
27	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought		
28	herein and will expire on February 28, 2022, unless renewed.		
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(HAI TU DUONG, M.D.) ACCUSATION NO. 806-2018-041842

JURISDICTION

- This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
 - 5. Section 2234 of the Code, states:

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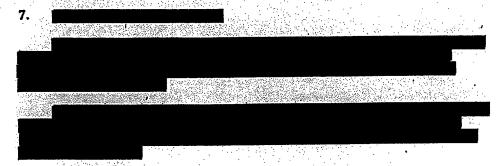
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The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- (a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - (b) Gross negligence.
- (c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- (1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- (2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - (d) Incompetence.
- (e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
- (f) Any action or conduct which would have warranted the denial of a certificate.
- (g) The failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board.

ů,

 6. Section 2266 of the Code states: The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.



FACTUAL ALLEGATIONS

- 8. Respondent is an anesthesiologist in Merced, California. In spring of 2017, the Confidential Patient (C.P.), then a 43-year-old woman, was referred to Respondent's practice for treatment of back pain. Respondent had her initial appointment with Respondent on or about April 18, 2017. During the appointment, Respondent evaluated her back pain and scheduled an appointment for a steroid injection, which he performed on or about July 5, 2017.
- 9. C.P. continued to see Respondent for evaluation and treatment over approximately the next six months. C.P. received an additional injection on or about November 18, 2017, and continued to appear for evaluations and follow up throughout December of 2017. On or about December 18, 2017, C.P. contacted Respondent's office complaining of intolerable pain, and requesting additional pain medication to see her through to the next appointment. Respondent's staff documented that they explained to C.P. that Respondent does not prescribe controlled medications because his practice lacks the ability to monitor patients for proper use of those medications. C.P. reported that she understood and would contact her primary care provider instead.
- 10. On or about January 30, 2018, C.P. had her last appointment with Respondent. Her appointment was at 5:00 p.m. C.P. arrived early to the appointment at approximately 4:00 p.m., in the hope that she might be seen earlier. After waiting, she had her appointment with

Respondent. During the appointment, Respondent scheduled C.P. for another injection in February of 2018. C.P. explained that she did not think she would be continuing as a patient after the injection. Respondent recommended that she continue treatment with him.

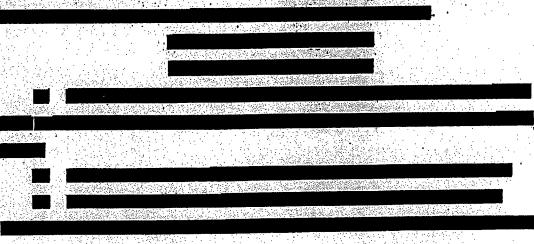
- appointment for the third injection on February 3, 2018. She then proceeded out of the building to the parking lot at approximately 6:00 p.m. As she was walking through the parking lot toward her parked car, Respondent also left the office and came to speak to her in the parking lot. C.P. told Respondent she needed to leave because she was concerned about driving home at that hour in the dark and in traffic. Respondent asked her to come look at his new truck. C.P. explained that she needed to get coffee before she began her drive home. Respondent told her he knew where the closest Starbucks was and he could drive her there in his new truck.
- and orange Chevy Colorado with a tan interior and bucket seats. C.P. recalled this because her friend owns a similar truck. While Respondent was driving C.P. to Starbucks, she asked him whether he needed to get home to his wife. Respondent confirmed that he had a wife, but insisted that it was fine for him to drive her to Starbucks. The two entered the Starbucks together where C.P. ordered a large Frappuccino and Respondent ordered a regular coffee. C.P. attempted to pay for her drink, but Respondent stopped her stating that he makes a lot of money working at two different clinics, and he paid for their drinks. While they sat at a table, Respondent stated that he was tired from working a long day and that most of his stress comes from problems with his wife. C.P. told him he should address his concerns to his wife. C.P. observed that Respondent seemed quiet and depressed. She estimates they were at Starbucks for approximately 30 minutes.
- 13. Respondent began to drive C.P. back to the parking lot of the clinic using a different route than they had driven to Starbucks. During the drive Respondent was suddenly quiet, and C.P. asked him if he was okay. He responded that he was okay but very tired. When they returned to the parking lot C.P. noticed that the only cars in the lot were her car and a landscaping truck. Respondent stopped his truck some distance from her parked car. As C.P. began to exit the truck, Respondent suddenly began driving, preventing her from getting out. Respondent

(1 %	drove out of the parking lot into a residential area and parked the truck under a light post. C.P.
2	asked him why he had stopped there and he responded that she was going to get him in a lot of
3	trouble.
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17	The next
18	day, C.P. got a call from Respondent's office canceling her February 3, 2018, appointment and
19	stating that her insurance would not cover the procedure. C.P. was upset and asked that
20	Respondent call her, but he never did. C.P. called her primary care provider's office and reported
21	what had happened. The primary care provider directed C.P. to file a complaint with the Medica
23	Board.
24	16. On or about May 25, 2018, a Board investigator went to Respondent's home address
25	and attempted to speak with him. As the investigator walked towards the front door, he was abl
26	to see into the garage through clear windows. He observed a truck that appeared to be orange in
27	color. A woman answered the front door and reported that she was Respondent's wife. She
	stated that Respondent was at work and not home. She confirmed that the truck in the garage

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about May 29, 2018. When the investigator called to confirm the interview, Respondent stated that he would meet the investigator at his attorney's office. The investigator met with Respondent and his attorney at the attorney's office. Respondent recalled C.P. and stated that she had been referred to his office for epidural shots. He reported that she had not appeared for her final appointment to get an epidural shot, which he found strange. He recalled the last appointment he had with her on or about January 30, 2018, and that she was the last patient of the day at the clinic which closes at approximately 5:30 p.m. He recalled speaking to C.P. in the parking lot, stating that she raised concerns about his front office staff. Respondent stated that he wanted to get coffee and suggested that they could speak about his front office staff at Starbucks since it was a public place. He admitted that he drove C.P. in his truck.

18. Respondent stated that while they were at Starbucks, C.P. made suggestions for how his front office staff could improve their hospitality and asked him for a prescription for OxyContin. Respondent stated that as he was driving back to his office, he stopped his truck on a street adjacent to the office to explain to C.P. why he could not provide her with a prescription for OxyContin. Respondent stated that after he explained this to her, he drove her to her car in the parking lot of his office and she exited his truck.



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SECOND CAUSE FOR DISCIPLINE

(Gross Negligence)

- 22. Respondent is subject to disciplinary action under section 2234, subdivision (b), of the Code in that he was grossly negligence in his care and treatment of C.P. The circumstances are set forth in Paragraphs 8 through 18, above, which are incorporated here by reference as if fully set forth herein. Additional circumstances are as follows:
- 23. Respondent was grossly negligent in his care and treatment of C.P. for his acts and omissions, including but not limited to, the following:
 - (a) Transporting C.P. to a secluded area in personal vehicle; and

THIRD CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 24. Respondent is subject to disciplinary action under section 2234, subdivision (c), of the Code in that he was repeatedly negligent in his care and treatment of C.P. The circumstances are set forth in Paragraphs 8 through 18, above, which are incorporated here by reference as if fully set forth herein. Additional circumstances are as follows:
- 25. Respondent was repeatedly negligent in his care and treatment of C.P. for his acts and omissions, including but not limited to, the following:
 - (a) Transporting C.P. to a secluded area in personal vehicle;
 - (c) Transporting C.P. to a public place in personal vehicle.

FOURTH CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

26. Respondent is subject to disciplinary action under section 2234 in that he has engaged in conduct which breaches the rules or ethical code of the medical profession, or conduct which is unbecoming to a member in good standing of the medical profession, and which demonstrated an unfitness to practice medicine. The circumstances are set forth in Paragraphs 8 through 18, above, which are incorporated here by reference as if fully set forth herein.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 103786, issued to Hai Tu Duong, M.D.;
- 2. Revoking, suspending or denying approval of Hai Tu Duong, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Hai Tu Duong, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
 - 4. Taking such other and further action as deemed necessary and proper.

DATED: APR 0 1 2020

Interim Executive Director
Medical Board of Ciliforn

Department of Consumer Affairs

State of California.

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EXHIBIT 2

EXHIBIT 2

MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Hai Tu Duong, M.D.

Physician's and Surgeon's License No. A 103786

Respondent

Case No. 800-2018-041842

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on July 2, 2021.

IT IS SO ORDERED: June 4, 2021.

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, M.D., Chair

Panel A

EXHIBIT 3

EXHIBIT 3

1	XAVIER BECERRA	
2	Attorney General of California STEVEN D. MUNI	
3	Supervising Deputy Attorney General MEGAN R. O'CARROLL	
4	Deputy Attorney General State Bar No. 215479	
5	1300 I Street, Suite 125 P.O. Box 944255	
	Sacramento, CA 94244-2550	
6	Telephone: (916) 210-7543 Facsimile: (916) 327-2247	,
.7	Attorneys for Complainant	,,
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11	BEFORM MEDICAL BOARD	OF CALIFORNIA
12	DEPARTMENT OF CO	INSUMER AFFAIRS
13	SIAIE OF CA	Allboiding
14		
15	In the Matter of the Accusation Against:	Case No. 800-2018-041842
	HAI TU DUONG, M.D. 3321 M St.	OAH No. 2020070047
16	Merced, CA 95348	STIPULATED SETTLEMENT AND
17	Physician's and Surgeon's Certificate No. A	DISCIPLINARY ORDER
18	103786	·
19	Respondent.	
20		•
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22	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-
23	entitled proceedings that the following matters are	e true:
24	PAR	
25	1. William Prasifka (Complainant) is the	e Executive Director of the Medical Board of
26	California (Board). He brought this action solely in his official capacity and is represented in this	
27	matter by Xavier Becerra, Attorney General of the State of California, by Megan R. O'Carroll,	
28	Deputy Attorney General.	
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STIPULATED SETTLEMENT (800-2018-041842)

- 2. Respondent Hai Tu Duong, M.D. (Respondent) is represented in this proceeding by attorney Nicole D. Hendrickson, whose address is: 655 University Avenue, Suite 119 Sacramento, CA 95825.
- 3. On or about May 7, 2008, the Board issued Physician's and Surgeon's Certificate No. A 103786 to Hai Tu Duong, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2018-041842, and will expire on February 28, 2022, unless renewed.

JURISDICTION ·

- 4. Accusation No. 800-2018-041842 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on April 1, 2020. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2018-041842 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2018-041842. Respondent has also carefully read, fully discussed with his counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 800-2018-041842, Causes for Discipline 2 through 4, if proven at a hearing, constitute cause for imposing discipline upon his Physician's and Surgeon's Certificate.
- 10. Respondent agrees that, at a hearing, Complainant could establish a prima facie case for the charges 2 through 4 in the Accusation, and that Respondent hereby gives up his right to contest those charges.
- 11. Respondent does not contest that, at an administrative hearing, complainant could establish a prima facie case with respect to the charges and allegations in Accusation No. 800-2018-041842, Causes 2 through 4, a true and correct copy of which is attached hereto as Exhibit A, and that he has thereby subjected his Physician's and Surgeon's Certificate, No. A 103786 to disciplinary action.
- 12. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 14. Respondent agrees that if he ever petitions for early termination or modification of probation, or if an accusation and/or petition to revoke probation is filed against him before the

Board, charges 2 through 4, and allegations contained in Accusation No. 800-2018-041842 shall be deemed true, correct and fully admitted by respondent for purposes of any such proceeding or any other licensing proceeding involving Respondent in the State of California.

- . 15. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or opportunity to be heard by the Respondent, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A 103786 issued to Respondent Hai Tu Duong, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for thirty-five (35) months on the following terms and conditions:

1. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of

this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

2. PROFESSIONAL BOUNDARIES PROGRAM. Within 60 calendar days from the effective date of this Decision, Respondent shall enroll in a professional boundaries program approved in advance by the Board or its designee. Respondent, at the program's discretion, shall undergo and complete the program's assessment of Respondent's competency, mental health and/or neuropsychological performance, and at minimum, a 24 hour program of interactive education and training in the area of boundaries, which takes into account data obtained from the assessment and from the Decision(s), Accusation(s) and any other information that the Board or its designee deems relevant. The program shall evaluate Respondent at the end of the training and the program shall provide any data from the assessment and training as well as the results of the evaluation to the Board or its designee.

Failure to complete the entire program not later than six (6) months after Respondent's initial enrollment shall constitute a violation of probation unless the Board or its designee agrees in writing to a later time for completion. Based on Respondent's performance in and evaluations from the assessment, education, and training, the program shall advise the Board or its designee of its recommendation(s) for additional education, training, psychotherapy and other measures necessary to ensure that Respondent can practice medicine safely. Respondent shall comply with program recommendations. At the completion of the program, Respondent shall submit to a final evaluation. The program shall provide the results of the evaluation to the Board or its designee. The professional boundaries program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

The program has the authority to determine whether or not Respondent successfully completed the program.

A professional boundaries course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board

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or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

NOTIFICATION. Within seven (7) days of the effective date of this Decision, the 3. Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days:

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- **QUARTERLY DECLARATIONS.** Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

GENERAL PROBATION REQUIREMENTS. 6.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business

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and Professions Code section 2021, subdivision (b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed. facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice,
Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of
departure and return.

- 7. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 8. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of

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probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar months, Respondent shall successfully complete the Federation of State Medical Boards's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve
Respondent of the responsibility to comply with the probationary terms and conditions with the
exception of this condition and the following terms and conditions of probation: Obey All Laws;
General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or
Controlled Substances; and Biological Fluid Testing..

- 9. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

- LICENSE SURRENDER. Following the effective date of this Decision, if Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy the terms and conditions of probation, Respondent may request to surrender his or her license. The Board reserves the right to evaluate Respondent's request and to exercise its discretion in determining whether or not to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent shall within 15 calendar days deliver Respondent's wallet and wall certificate to the Board or its designee and Respondent shall no longer practice medicine. Respondent will no longer be subject to the terms and conditions of probation. If Respondent re-applies for a medical license, the application shall be treated as a petition for reinstatement of a revoked certificate.
- PROBATION MONITORING COSTS. Respondent shall pay the costs associated with probation monitoring each and every year of probation, as designated by the Board, which may be adjusted on an annual basis. Such costs shall be payable to the Medical Board of California and delivered to the Board or its designee no later than January 31 of each calendar year.
- FUTURE ADMISSIONS CLAUSE. If Respondent should ever apply or reapply for a new license or certification, or petition for reinstatement of a license, by any other health care licensing action agency in the State of California, charges 2 through 4, and allegations contained in Accusation No. 800-2018-041842 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement of Issues or any other proceeding seeking to deny or restrict license.

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ACCEPTANCE

1	ACCEPTANCE,		
2	I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully		
3	discussed it with my attorney, Nicole D. Hendrickson. I understand the stipulation and the effect		
4	it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement		
5	and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the		
6	Decision and Order of the Medical Board of California.		
7			
8	DATED: 1/21/21 HAITU DUONG, M.D.		
9	Respondent		
10	I have read and fully discussed with Respondent Hai Tu Duong, M.D. the terms and		
11	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.		
12	I approve its form and content.		
1,3	DATED: 1/22/2021 NICOLE D. HENDRICKSON		
14	NICOLE D. HENDRIGKSON Autorney for Respondent		
15			
16	<u>ENDORSEMENT</u> .		
17	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
18	submitted for consideration by the Medical Board of California.		
19	DATED: 1/22/2021 Respectfully submitted,		
20	DATED:1/22/2021 Respectfully submitted, XAVIER BECERRA		
21	Attorney General of California STEVEN D. MUNI		
22	Supervising Deputy Attorney General		
23	Megen & Olar oll		
24	MEGAN R. O'CARROLL		
25	Deputy Attorney General Attorneys for Complainant		
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