# OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 9600 Gateway Drive

# BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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In the Matter of Charges and
Complaint Against
Michael Stanley Kaplan, M.D.,
Respondent.

Case Nos. 11-8547-1

15-8547-1

**FILED** 

JUN 1 4 2018

NEVADA STATE BOARD OF MEDICAL EXAMINERS

## SETTLEMENT AGREEMENT

The Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board), by and through Robert Kilroy, Esq., General Counsel for the Board and attorney for the IC, and Michael Stanley Kaplan, M.D. (Respondent), a licensed Physician in Nevada, hereby enter into this Settlement Agreement (Agreement) based on the following: <sup>1</sup>

### A. Background

- 1. Respondent is a medical doctor whose license is indefinitely suspended, and in an expired status since June 30, 2017, by the Board pursuant to Chapter 630 of the Nevada Revised Statutes (NRS) and Chapter 630 of the Nevada Administrative Code (NAC) (collectively, the Medical Practice Act). Respondent was first licensed to practice medicine in Nevada on December 2, 1989 (License No. 5983).
- 2. On April 12, 2011, in Case No, 11-12859-1, a Stipulation and Order was entered into between the IC and Michael Kaplan, M.D., wherein Dr. Kaplan's license was reinstated after summary suspension on March 14, 2011, subject to certain conditions. The parties stipulated that this Stipulation and Order would not affect Case No. 11-8547-1. However, evidence of Dr.

<sup>&</sup>lt;sup>1</sup> All agreements and admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, Respondent's agreements and admissions are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, state or federal civil or criminal proceeding, any state or federal court proceeding, or any credentialing or privileges matter.

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Kaplan's good faith compliance with the conditions set forth therein may be considered in connection with any hearing or resolution of legal case No. 11-8547-1. Nothing in the Stipulation constituted an admission of negligence or guilt and it was specifically stated: "It is understood and agreed that the intent of this Stipulation is to allow Dr. Kaplan's license to be reinstated and that he may practice within his area of specialty, urology, without restriction, but subject to the voluntary and temporary conditions set forth above."

- On July 24, 2013, in Case No. 11-8547-1, the IC filed a formal First Amended 3. Complaint (First Complaint) charging Respondent with violating the Medical Practice Act. Specifically, the First Complaint alleges one (1) violation of NAC 630.230(1)(h), failing to adequately supervise a medical assistant (Count I) and one (1) violation of NRS630.306(7), failure to exercise ordinary skill or diligence (Count II).
- On September 24, 2015, in Case No. 15-8547-1, the IC filed a formal Complaint 4. (Second Complaint) charging Respondent with violating the Medical Practice Act. Specifically, the Second Complaint alleges one (1) violation of NRS 630.301(9), disreputable conduct (Count I), one (1) violation of NRS 630.301(11)(g), conviction of an offense involving moral turpitude (Count II), and one (1) violation of NRS 630.301(1), conviction of a felony relating to the practice of medicine (Count III).
- By reason of the foregoing, Respondent is subject to discipline by the Board as 5. provided in NRS 630.352.
- Respondent was properly served with a copy of these Complaints, has reviewed 6. and understands these Complaints, and has had the opportunity to consult with competent counsel concerning the nature and significance of these Complaints.
- Respondent is hereby advised of his rights regarding this administrative matter, and 7. of his opportunity to defend against the allegations in the Complaints. Specifically, Respondent has certain rights in this administrative matter as set out by the United States Constitution, the Nevada Constitution, the Medical Practice Act, the Nevada Open Meeting Law (OML), which is contained in NRS Chapter 241, and the Nevada Administrative Procedure Act (APA) pertaining to certain regulatory bodies, which is contained in NRS Chapters 233B and 622A. These rights

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include the right to a formal hearing on the allegations in the Complaints, the right to representation by counsel, at his own expense, in the preparation and presentation of his defense, the right to confront and cross-examine the witnesses and evidence against him, the right to written findings of fact, conclusions of law and order reflecting the final decision of the Board, and the right to judicial review of the Board's order, if the decision is adverse to him.

- Respondent understands that, under the Board's charge to protect the public by 8. regulating the practice of medicine, the Board may take disciplinary action against Respondent's license, including license probation, license suspension, license revocation and imposition of administrative fines, as well as any other reasonable requirement or limitation, if the Board concludes that Respondent violated one or more provisions of the Medical Practice Act.
- 9. Respondent understands and agrees that this Agreement, by and between Respondent and the IC, is not with the Board, and that the IC will present this Agreement to the Board for consideration in open session at a duly noticed and scheduled meeting. Respondent understands that the IC shall advocate for the Board's approval of this Agreement, but that the Board has the right to decide in its own discretion whether or not to approve this Agreement. Respondent further understands and agrees that if the Board approves this Agreement, then the terms and conditions enumerated below shall be binding and enforceable upon him and the Board.

### B. **Terms & Conditions**

NOW, THEREFORE, in order to resolve the matters addressed herein, i.e., the matters with regard to the Complaints, Respondent and the IC hereby agree to the following terms and conditions:

- Jurisdiction. Respondent is, and at all times relevant to the Complaints has been, a 1. physician licensed to practice medicine in Nevada subject to the jurisdiction of the Board as set forth in the Medical Practice Act.
- Representation by Counsel/Knowing, Willing and Intelligent Agreement. 2. Respondent acknowledges he is represented by counsel, and wishes to resolve the matters addressed herein with said counsel. Respondent agrees that if representation by counsel in this matter materially changes prior to entering into this Agreement and for the duration of this

Agreement, that counsel for the IC will be timely notified of the material change. Respondent agrees that he knowingly, willingly and intelligently enters into this Agreement after deciding to have a full consultation with and upon the advice of legal counsel.

- and conditions, Respondent knowingly, willingly and intelligently waives all rights in connection with this administrative matter. Respondent hereby knowingly, willingly and intelligently waives all rights arising under the United States Constitution, the Nevada Constitution, the Medical Practice Act, the OML, the APA, and any other legal rights that may be available to him or that may apply to him in connection with the administrative proceedings resulting from the Complaints filed in this matter, including defense of the Complaints, adjudication of the allegations set forth in the Complaints, and imposition of any disciplinary actions or sanctions ordered by the Board. Respondent agrees to settle and resolve the allegations of the Complaints as set out by this Agreement, without a hearing or any further proceedings and without the right to judicial review.
- 4. Acknowledgement of Reasonable Basis to Proceed. Respondent acknowledges that the IC believes it has a reasonable basis to allege that Respondent engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act. The Board acknowledges Respondent is not admitting and specifically denies that the Board's claims/counts as alleged in the Complaints have merit. Respondent is agreeing to resolve this matter to avoid the costs of hearing and potential subsequent litigation. Respondent asserts if these cases were to proceed to hearing, he has evidence, witnesses, expert witness(es) and defenses to the counts/claims alleged in the Complaints, but for the purposes of resolving these cases and for no other purpose, Respondent waives the presentation of evidence, witnesses, expert witnesses, and defenses in order to effectuate this Agreement. It is understood that Respondent intends to reapply for his medical license and specifically that entering into this settlement agreement will not preclude him from reapplying.
- 5. <u>Consent to Entry of Order</u>. In order to resolve these Complaints pending against Respondent, Respondent hereby agrees that the Board may issue an order finding that Respondent

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engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act. Accordingly, the following terms and conditions are hereby agreed upon:

- Respondent admits to Count I of the Second Complaint. a.
- Counts I and II of the First Complaint and Count II and III of the Second b. Complaint shall be dismissed with prejudice.
  - Respondent's license to practice medicine shall be revoked. C.
- Respondent will pay the costs and expenses incurred in the investigation and d. prosecution of the above-referenced matters, which imposition of costs and expenses shall be effective upon the Board's acceptance, adoption and approval of this Agreement, the current amount being \$18,819.87, not including any costs that may be necessary to finalize this Agreement. Respondent shall pay the aforementioned costs and fees within 60 days of his reapplication for licensure by the Board; Respondent may also request a plan of repayment, and repay said costs under such terms that are acceptable to the Board.
- This Agreement shall be reported to the appropriate entities and parties as required by law, including, but not limited to, the National Practitioner Data Bank.
  - Respondent shall receive a Public Letter of Reprimand. f.
- If Respondent otherwise meets the requirements for application for licensure, then g. he may reapply for a medical license, and this agreement will not preclude him from making such application.
- This Agreement encompasses the resolution of all issues before the Board, h. including any allegations that Respondent may have failed to comply with reporting requirements.
- Release From Liability. In execution of this Agreement, Respondent understands 6. and agrees that the State of Nevada, the Board, and each of its members, staff, counsel, investigators, experts, peer reviewers, committees, panels, hearing officers, consultants and agents are immune from civil liability for any decision or action taken in good faith in response to information acquired by the Board. NRS 630.364(2)(a). Respondent agrees to release the State of Nevada, the Board, and each of its members, staff, counsel, investigators, experts, peer reviewers, committees, panels, hearing officers, consultants and agents from any and all manner of actions,

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causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have or claim to have, against any or all of the persons, government agencies or entities named in this paragraph arising out of, or by reason of, this investigation, this Agreement or the administration of the case referenced herein.

Procedure for Adoption of Agreement. The IC and counsel for the IC shall 7. recommend approval and adoption of the terms and conditions of this Agreement by the Board in resolution of these Complaints. In the course of seeking Board acceptance, approval and adoption of this Agreement, counsel for the IC may communicate directly with the Board staff and the adjudicating members of the Board.

Respondent acknowledges that such contacts and communications may be made or conducted ex parte, without notice or opportunity to be heard on his part until the public Board meeting where this Agreement is discussed, and that such contacts and communications may include, but may not be limited to, matters concerning this Agreement, the Complaints and any and all information of every nature whatsoever related to this matter. The IC and its counsel agree that Respondent may appear at the Board meeting where this Agreement is discussed and, if requested, respond to any questions that may be addressed to the IC or the IC's counsel.

- Effect of Acceptance of Agreement by Board. In the event the Board accepts, 8. approves and adopts this Agreement, the Board shall issue a final order, making this Agreement an order of the Board, and, pending full compliance with the terms herein, the case shall be closed and the remaining counts of the Complaints shall be dismissed with prejudice.
- Effect of Rejection of Agreement by Board. In the event the Board does not 9. accept, approve and adopt this Agreement, this Agreement shall be null, void and of no force and effect except as to the following agreement regarding adjudications: (1) Respondent agrees that, notwithstanding rejection of this Agreement by the Board, nothing contained in this Agreement and nothing that occurs pursuant to efforts of the IC to seek the Board's acceptance of this Agreement shall disqualify any member of the adjudicating panel of the Board from considering these Complaints and from participating in disciplinary proceedings against Respondent, including

- 10. <u>Binding Effect</u>. If approved by the Board, Respondent understands that this Agreement is a binding and enforceable contract upon Respondent and the Board.
- 11. <u>Forum Selection Clause</u>. The parties agree that in the event either party is required to seek enforcement of this Agreement in district court, the parties consent to such jurisdiction and agree that exclusive jurisdiction shall be in the Second Judicial District Court, State of Nevada, Washoe County.
- 12. <u>Attorneys' Fees and Costs</u>. The parties agree that in the event an action is commenced in district court to enforce any provision of this Agreement, the prevailing party shall be entitled to recover reasonable attorneys' fees and costs.
- or condition of this Agreement once the Agreement has been accepted, approved and adopted by the Board, the IC shall be authorized to immediately suspend Respondent's license to practice medicine in Nevada pending an Order to Show Cause Hearing, which will be duly noticed. Failure to comply with the terms of this Agreement, including failure to pay any fines, costs, expenses or fees owed to the Board, is a failure to comply with an order of the Board, which may result in additional disciplinary action being taken against Respondent. NRS 630.3065(2)(a). Further, Respondent's failure to remit payment to the Board for monies agreed to be paid as a condition of this Agreement may subject Respondent to civil collection efforts, however a payment plan may be agreed to at the time of reapplication.

By:

Robert Kilroy, Esq., General Counsel

Aaron Bart Fricke, Esq., Deputy General Counsel

Attorneys for the Investigative Committee

IT IS HEREBY ORDERED that the foregoing Settlement Agreement is approved and accepted by the Nevada State Board of Medical Examiners on the 1<sup>st</sup> day of June, 2018, with the final total amount of costs due of \$18,819.87.

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Rachakonda D. Prabhu, M.D., President NEVADA STATE BOARD OF MEDICAL EXAMINERS