BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

* * * * *

In the Matter of Charges and

Case No. 17-4698-1

Complaint Against

FILED

Michael C. Braunstein, M.D.,

NOV - 7 2017

Respondent.

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NEVADA STATE BOARD OF

COMPLAINT

The Investigative Committee¹ (IC) of the Nevada State Board of Medical Examiners (Board) hereby issues this formal Complaint (Complaint) against Michael C. Braunstein, M.D. (Respondent), a licensed physician in Nevada. After investigating this matter, the IC has a reasonable basis to believe that Respondent has violated provisions of Nevada Revised Statutes (NRS) Chapter 630 and Nevada Administrative Code (NAC) Chapter 630 (collectively, the Medical Practice Act). The IC alleges the following facts:

I. Respondent's Licensure Status

1. Respondent is currently licensed in active status (License No. 3143), and has been licensed by the Board since March 16, 1976. At all times alleged herein, Respondent was licensed in an active status by the Board pursuant to the provisions of the Medical Practice Act.

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¹ The Investigative Committee of the Nevada State Board of Medical Examiners, at the time the filing of this Complaint was approved, was composed of Beverly A. Neyland, M.D., Rachakonda D. Prabhu, M.D., and Ms. Sandy Peltvn.

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II. Statement of Nevada Law Applicable to "Medical Spas" and "Medical Directors"

Part A - Delegating Practitioners, Medical Assistants, and the Unlicensed Practice of Medicine

- 2. Pursuant to NRS 630.400(1)(d), it is unlawful for any person to practice medicine without being licensed by the Board under NRS 630, or by another medical professional licensing board pursuant to chapters 631 to 637, inclusive, 639 or 640 of NRS.
- Pursuant to NRS 630.020, the "practice of medicine" means either (1) to diagnose, treat, correct, prevent or prescribe for any human disease, ailment, injury, infirmity, deformity or other condition, physical or mental, by any means or instrumentality, including, but not limited to, the performance of an autopsy, or (2) to apply principles or techniques of medical science in the diagnosis or the prevention of any such conditions, or (3) to offer, undertake, attempt to do or hold oneself out as able to do any of the acts described in (1) and (2).
- 4. Pursuant to NRS 630.305(1)(e), a Nevada physician or physician assistant (practitioner) may not aid, assist, employ or advise, directly or indirectly, any unlicensed person to engage in the practice of medicine contrary to the provisions of the Medical Practice Act, and doing so is grounds for initiating disciplinary action or denying licensure.
- 5. Pursuant to the Medical Practice Act, the only means by which a practitioner may lawfully aid, assist, employ or advise, directly or indirectly, an unlicensed person to engage in the practice of medicine is by delegating specific tasks to an adequately supervised and trained "medical assistant." Pursuant to NRS 630.0129, a "medical assistant" means a person who (a) performs clinical tasks under the supervision of a practitioner, and (b) does not hold a license, certificate or registration issued by a professional licensing or regulatory board in this State to perform such clinical tasks; the term does not include a person who performs only administrative, clerical, executive or other nonclinical tasks.
- Pursuant to NRS 630.306(1)(r), a practitioner must adequately supervise his or her 6. medical assistants, and failing to do so is grounds for initiating disciplinary action or denying licensure.

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- 7. Pursuant to NAC 630.830, a delegating practitioner is professionally responsible for the safety and performance of each task which is delegated to a medical assistant. Pursuant to NAC 630.810, a delegating practitioner may not delegate tasks to a medical assistant unless: (a) the delegating practitioner knows that the medical assistant possesses the knowledge, skill and training to perform the task safely and properly; (b) the medical assistant is not required to be certified or licensed to perform that task; and (c) the medical assistant is employed by the delegating practitioner or the medical assistant and the delegating practitioner are employed by the same employer.
- 8. Pursuant to NAC 630.820, a delegating practitioner may not supervise remotely a medical assistant, except in a specific case of emergency in a rural area.²
- Except as otherwise provided in NAC 630.820, pursuant to NAC 630.810, if a 9. medical assistant is delegated a task which involves an "invasive procedure", the delegating practitioner must be immediately available to exercise oversight in person while the medical assistant performs the task.
- The term "medical director" is not recognized or defined under any Nevada law or 10. regulation pertaining to the professional conduct and responsibility of licensed medical professionals, and no law authorizes so-called "medical directors" to violate or circumvent the aforementioned statutes and regulations of the Medical Practice Act.
- Accordingly, pursuant to NRS 630.400(1)(d), 630.305(1)(e), and 630.0129, and 11. NAC 630.800-840:

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³ The Medical Practice Act does not define "invasive procedure," however, NAC 449.9733 pertaining to Medical Facilities defines "invasive procedure" as "a medical procedure involving entry into the human body by puncture or incision or by insertion of an instrument."

City or the City of Elko, Henderson, Reno, Sparks, Las Vegas or North Las Vegas.

² Remote supervision of a medical assistant is prohibited unless: (a) the patient is located in a rural area; (b) the delegating practitioner is physically located a significant distance from the location where the task is to be performed;

(c) the delegating practitioner determines that the exigent needs of the patient require immediate attention; (d) the patient and the delegating practitioner previously established a practitioner-patient relationship; and (e) the delegating

practitioner is immediately available by telephone or other means of instant communication during the performance of the task by the medical assistant. As used in NAC 630.820, "rural area" means any area in this State other than Carson

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- a. If a practitioner, whether by serving as a so-called "medical director" or by some other relationship or circumstance, aids, assists, employs or advises, directly or indirectly, any unlicensed person to engage in the practice of medicine, then the practitioner may lawfully do so only by delegating tasks to an adequately supervised, competent and properly trained medical assistant.
- b. If a practitioner, whether by serving as a so-called "medical director" or by some other relationship or circumstance, aids, assists, employs or advises, directly or indirectly, any unlicensed person to engage in the practice of medicine, and if the practitioner has not done so by delegating specific tasks to an adequately supervised, competent and properly trained medical assistant, then (1) that unlicensed person has violated NRS 630.400(1)(d), by practicing medicine without a license, and (2) that practitioner has violated NRS 630.305(1)(e), and is subject to discipline for aiding and abetting the unlicensed practice of medicine.
- c. If a practitioner delegates a medical task to a medical assistant, whether by serving as a so-called "medical director" or by some other relationship or circumstance, then the practitioner remains professionally responsible for the safety and performance of each task, and remains professionally responsible for ensuring that the proper employment relationships exist, that the medical assistant is properly knowledgeable, trained and skilled, that the medical assistant is adequately supervised, and the practitioner must be nearby to supervise the specific medical tasks delegated, and, if it is an invasive medical task, then he or she must be immediately available to exercise oversight in person while the medical assistant performs the task.
- d. If a practitioner delegates a medical task to a medical assistant, whether by serving as a so-called "medical director" or by some other relationship or circumstance, and if the practitioner fails to adequately supervise that medical assistant as required under the circumstances, then that physician or physician assistant has

levada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 violated NRS 630.306(1)(r), and is subject to discipline for failing to adequately supervise a medical assistant.

Part B – Cosmetologists, "Medical Spas" and "Medical Aestheticians," and the Unlicensed Practice of Medicine

- 12. Pursuant to NRS 644.023, 644.024, 644.0207 and 644.026, and in accord with NRS 630.400(1)(d) and 630.020, a licensed "cosmetologist" is not authorized by such license to practice medicine in any way. Rather, a licensed cosmetologist is authorized to perform only non-medical, cosmetological services, such as cleansing, stimulating or massaging the scalp or cleansing or beautifying the hair by the use of cosmetic preparations, antiseptics, tonics, lotions or creams, cutting, trimming or shaping the hair, removing hair by electrolysis, depilatories, waxing or tweezing, manicuring nails, massaging the skin, giving facials, and other miscellaneous non-medical, cosmetological services.
- performing "invasive procedures," which means for the purposes of professional licensing of cosmetologists "an act that affects the structure or function of the skin other than the uppermost layers of the skin," and which for the sake of regulating the practice of cosmetologists includes, without limitation, (a) the application of electricity for the sole purpose of contracting a muscle, (b) the application of a topical lotion, cream or other substance which affects anything other than the uppermost layers of the skin, (c) the penetration of the skin by needles, and (d) the abrasion of the skin below the uppermost layers of the skin.
- 14. There is no such license as "medical aesthetician" authorized by any Nevada law or issued by any Nevada sanctioned professional licensing body. The term "medical aesthetician" is not recognized or defined under any Nevada law or regulation pertaining to the professional conduct and responsibility of licensed medical professionals, and no law authorizes cosmetologists to violate or circumvent the aforementioned statutes and regulations of the Nevada Medical Practice Act by referring to themselves as "medical aestheticians."
- 15. Accordingly, a Nevada licensed cosmetologist may not practice medicine in any way, or perform invasive medical procedures or medical services of any kind, except as a

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"medical assistant" to a Nevada licensed medical professional, i.e., either as the medical assistant of a Nevada licensed physician or physician assistant pursuant to NRS 630.0129, et. seq., and NAC 630.800-830, as described above, or similarly as another type of professional "assistant," "hygienist," "technician," or other such designation, who is delegated tasks by and under the direct supervision of another medical professional who is duly licensed pursuant to chapters 631 to 637, inclusive, 639 or 640 of NRS.

If a cosmetologist or so-called "medical aesthetician" practices or purports to 16. practice medicine in any way, unless he or she acts as a medical assistant and is delegated tasks and is properly supervised by a professional medical practitioner, then he or she is violating NRS 630.400(1)(d) by practicing medicine without a license.

Part C - Nevada Pharmacy Laws Regarding Dangerous Drugs and Hypodermic Devices

- NRS 630.306(1)(b)(3) provides that engaging in conduct that violates a regulation 17. adopted by the Nevada State Board of Pharmacy (Pharmacy Board) is grounds for initiating disciplinary action.
- Pursuant to NRS 454.201, a "dangerous drug" is any drug, other than a controlled 18. substance, unsafe for self-medication or unsupervised use, and includes, among other things, (1) any drug which has been approved by the Food and Drug Administration for general distribution and bears the legend: "Caution: Federal law prohibits dispensing without prescription," and (2) any drug which, pursuant to the Board's regulations, may be sold only by prescription because the Board has found those drugs to be dangerous to public health or safety.
- Pursuant to NRS 454.316 and NRS 454.321, it is unlawful to possess, dispense or 19. furnish a dangerous drug except when furnished to the person by a pharmacist pursuant to a legal prescription from a practitioner.
- Pursuant to NRS 454.009, a "hypodermic" device is any syringe, needle, 20. instrument, device or implement intended or capable of being adapted for the purpose of administering drugs by subcutaneous, intramuscular or intravenous injection.
- Pursuant to NRS 454.510, it is unlawful for any person to have in his or her 21. possession or under his or her control any hypodermic device that is restricted by federal law to

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sale by or on the order of a physician, unless the person has acquired possession of such device in accordance with the provisions of NRS 454.480 to 454.530, inclusive, that is, by sale from a licensed pharmacist, on the prescription of a physician or other practitioner, which prescription is filled as required by NRS 639.236, and may be refilled as authorized by the prescriber.

- 22. Accordingly, pursuant to NRS 454.009, 454.201, 454.316, 454.321 and 454.510, a Nevada licensed cosmetologist is not permitted by Nevada law to possess, control, access, prescribe, administer, and/or dispense dangerous drugs or hypodermic devices, except as a Medical Assistant under the direct supervision of a Nevada licensed physician, physician assistant, or other licensed medical professional, and then only if otherwise permitted and in compliance with laws of regulating pharmacies and pharmaceuticals.
- NAC 639,742(1) provides that a practitioner who wishes to dispense controlled 23. substances or dangerous drugs must apply to the Pharmacy Board for a certificate of registration to dispense controlled substances or dangerous drugs. A practitioner must submit a separate application for each site of practice, including, without limitation, any remote site or satellite consultation site, from which the practitioner wishes to dispense controlled substances or dangerous drugs.
- NAC 639.742(2) provides that if a facility from which the practitioner intends to 24. dispense dangerous drugs or controlled substances is not wholly owned and operated by the practitioner, the owner or owners of the facility must also submit an application to the Pharmacy Board for a license to do so.
- NAC 639.742(3) provides in pertinent part that a dispensing practitioner and, if 25. applicable, the owner or owners of the facility, shall ensure that:
 - (b) All drugs are received and accounted for by the dispensing practitioner;
 - (c) All drugs are stored in a secure, locked room or cabinet to which the dispensing practitioner has the only key or lock combination;
 - (d) All drugs are dispensed in accordance with NAC 639.745⁴;

NAC 639.745 Duties of certain practitioners concerning dispensing of controlled substances and dangerous drugs. (NRS 639.070, 639.0727)

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(e) No prescription is dispensed to a patient unless the dispensing practitioner is on-
site at the facility;

- (f) All drugs are dispensed only to the patient personally at the facility;
- (h) All drugs are dispensed only for medically necessary purposes and according to prevailing standards of care for practitioners practicing in the specialty claimed or practiced by the dispensing practitioner; and
- (i) The certificate for each dispensing technician employed at the facility is displayed in the room or cabinet in which drugs are stored.
- 1. Each practitioner who is registered with the Board to dispense controlled substances and dangerous drugs, including, without limitation, a dispensing practitioner, and who dispenses such products for use by the practitioner's patients outside his or her presence shall:
- (a) Keep complete, accurate and readily retrievable records of each controlled substance and dangerous drug purchased and dispensed. The record for each such product dispensed to a patient must include:
 - (1) The name of the patient and, if not readily available from the practitioner's records, the patient's address;
 - (2) The name, strength and quantity of the prescribed controlled substance or dangerous drug;
 - (3) The directions for use;
 - (4) The date the prescription was issued; and
 - (5) A unique identifying number.
- (b) Maintain a separate file for the records concerning the purchase of each controlled substance listed in schedule II and a separate file for the records concerning the dispensing of each controlled substance listed in schedule II. Each prescription for a controlled substance or dangerous drug must be maintained in a separate file pursuant to the requirements set forth in NAC 453.480.
- (c) Keep all controlled substances and dangerous drugs in a locked storage area. Access to the storage area must be restricted to the persons described in NRS 453.375.
- (d) Ensure that each package or container in which a controlled substance is dispensed, except samples in the manufacturer's packages, is clearly labeled pursuant to the requirements set forth in NRS 639.2801.
- (e) Ensure that the package or container in which a controlled substance or dangerous drug is dispensed complies with all state and federal packaging requirements.
 - (f) Be deemed to be a pharmacy as that term is used in NAC 639.926 and shall comply with that section.
- 2. A practitioner may dispense dangerous drugs or controlled substances only after the patient has been informed by the practitioner that the patient may request a written prescription and have it filled at another location of the patient's choosing.
- 3. A record regarding the dispensing of a controlled substance or dangerous drug made and kept pursuant to this section must be maintained on paper or in a computer. If the record is:
 - (a) Maintained on paper, the record must:
 - (1) Include all the information required to be on the prescription pursuant to NRS 639.2353 and NAC 453.440;
- (2) Set forth on the front of the prescription a certification initialed and dated by the patient that the patient has been informed by the practitioner in accordance with subsection 2 and that the patient has agreed to have the practitioner dispense the controlled substance or dangerous drug; and
- (3) Be serially numbered and kept in numerical order in a single file for all dispensing practitioners, including, without limitation, physician assistants and advanced practice registered nurses, practicing at the same location.
 - (b) Maintained in a computer, the record must:
 - (1) Include all the information required to be on the prescription pursuant to NRS 639.2353 and NAC 453.440;
- (2) Contain a certification, either in the computer or a separate paper document, initialed and dated by the patient that the patient has been informed by the practitioner in accordance with subsection 2 and that the patient has agreed to have the practitioner dispense the controlled substance or dangerous drug; and
 - (3) Be searchable for any item required by paragraph (a) of subsection 1 to be included in the record.

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- NAC 639.742(4)(a)-(b) provides in part that with regard to the filling and 26. dispensing of a prescription at a facility, only the dispensing practitioner or a dispensing technician may enter the room or cabinet in which drugs are stored, or remove drugs from stock.
- NAC 639.945(1) provides in pertinent part that the following acts or practices by a 27. holder of any license, certificate or registration issued by the Pharmacy Board or any employee of any business holding any such license, certificate or registration are declared to be, specifically but not by way of limitation, unprofessional conduct and conduct contrary to the public interest:
 - (i) Performing any of his or her duties as the holder of a license, certificate or registration issued by the Board, or as the owner of a business or an entity licensed by the Board, in an incompetent, unskillful or negligent manner.
 - (g) Supplying or diverting drugs, biologicals, medicines, substances or devices which are legally sold in pharmacies or by wholesalers, so that unqualified persons can circumvent any law pertaining to the legal sale of such articles.
 - (n) Dispensing a drug as a dispensing practitioner to a patient with whom the dispensing practitioner does not have a bona fide therapeutic relationship.
 - (o) Prescribing a drug as a prescribing practitioner to a patient with whom the prescribing practitioner does not have a bona fide therapeutic relationship.
- NAC 639.945(2) provides that the owner of any business or facility licensed, 28. certified or registered by the Pharmacy Board is responsible for the acts of all personnel in his or her employ.
- NAC 639.945(3) provides that, for purposes of applying Pharmacy Board 29. regulations, a bona fide therapeutic relationship between the patient and practitioner shall be deemed to exist if the patient was examined in person, electronically or telephonically by the practitioner within the 6 months immediately preceding the date the practitioner dispenses or prescribes a drug to the patient and, as a result of the examination, the practitioner diagnosed a condition for which a given drug therapy is prescribed.
- Accordingly, any physician or physician assistant who whether by serving as a 30. so-called "medical director" of, or by furnishing dangerous drugs or hypodermic devices to, or by

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some other relationship or circumstance with any "medical spa" wherein dangerous drugs or hypodermic devices are possessed, controlled, accessed, prescribed, administered, and/or dispensed - engages in conduct that violates any regulation adopted by the Pharmacy Board, including but not limited to NAC 639.742(1)-(3), (4)(a)-(b), or 639.945(1)-(3), has violated the Nevada Medical Practice Act and is subject to discipline by the Board.

Respondent's Medical Directorship of Spa Dorin III.

- 31. At all relevant times alleged herein, Spa Dorin Body and Face LLC (Spa Dorin), was a Nevada limited liability company with its principle place of business located at 4825 West Flamingo Road, Suite 8, Las Vegas, Nevada 89103; Spa Dorin's corporate status has since been revoked by the Nevada Secretary of State, and is no longer in operation.
- 32. Respondent served as the "Medical Director" of Spa Dorin from September 2014 until May 2015.
- Doris Feliz (Feliz) was Spa Dorin's noncommercial registered agent, and sole 33. officer and manager.
- Spa Dorin, through its principal Feliz, held itself out to the public as a "medical 34. spa" "specializing" in medical procedures, products and treatments including "Medical Weightloss," "Botox," "Restalyne" and "Juvederm" dermal fillers, Latisse, Obaji, as well as other non-medical aesthetic and cosmetological services.
- 35. Spa Dorin claimed to employ "licensed medical aestheticians" who "formulate proactive plans" for the medical care of its patients.
 - Respondent was an independent contractor of Spa Dorin. 36.
 - 37. Respondent was not a manager, agent, officer or employee of Spa Dorin.
- Respondent had no ownership or member interest in Spa Dorin, nor did he have 38. contractual authority to manage the affairs of Spa Dorin.
- Neither Feliz nor any of Spa Dorin's employees or other independent contractors 39. were employed by Respondent during the time Respondent served as Medical Director of Spa Dorin.

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- At all times relevant to the facts alleged herein, neither Feliz, nor any other 40. member, manager, employee or independent contractor of Spa Dorin, was licensed by any Nevada professional licensing board pursuant to chapters 630 to 637, inclusive, 639 or 640 of NRS to practice medicine in the State of Nevada.
- No person in any way affiliated with or employed by Spa Dorin held a medical 41. license issued by the Board during the time Respondent served as Medical Director, other than Respondent himself.
- Feliz was at all relevant times a Nevada licensed "Cosmetologist" (License # C-42. 29336) and listed under the name of "Doris Guzman."
- The only times Respondent was personally present at Spa Dorin's location during 43. his time as Medical Director were the instances when he appeared as a patient to receive medical treatment.
- 44. Spa Dorin's medical records show no indication that Respondent supervised, contacted or consulted Feliz or anyone else at Spa Dorin in person or by any other means during Respondent's service as Medical Director.
- Respondent has been licensed in active status (License No. PD01413) by the 45. Pharmacy Board since May 05, 1997, to dispense prescription drugs at the following location: 939 S. Decatur Boulevard, Las Vegas, Nevada 89107.
- Respondent, personally or at his direction to a medical assistant, procured 46. prescription strength Obagi products, Juvaderm Voluma XC, Vanibase Cream, Latisse, Botox and Restylane; the aforementioned drugs and hypodermic devices are available only by prescription and are dangerous drugs as defined by NRS 454.201.
- Respondent, personally or at his direction to a medical assistant, delivered these 47. dangerous drugs and hypodermic devices to Spa Dorin employees at Spa Dorin's office location and left them in their sole possession, custody and control and beyond the supervision or restraint of Respondent.
- Respondent did not apply to the Pharmacy Board for a certificate of registration to 48. dispense controlled substances or dangerous drugs at Spa Dorin's location.

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- 49. During Respondent's service as Medical Director to Spa Dorin, Feliz and/or Spa Dorin's employees or agents provided medical treatment and/or prescriptions and/or administered dangerous drugs and hypodermic devices and/or dispensed dangerous drugs and hypodermic devices to at least forty-one patients.
- The conduct set forth in paragraph 49 above constitutes the practice of medicine in 50. Nevada.
- Spa Dorin's medical records contain no entries for patient history, notes, 51. examination findings, tests performed, procedures performed, dangerous drugs dispensed. administered or prescribed, or therapeutic procedures performed by Respondent.
- Respondent's medical office manager, Veronica Hernandez (Hernandez), ordered 52. Botox, Valuma, Juvederm, Restylane-L, Obagi, and Latisse for delivery at Respondent's medical practice and for use by Spa Dorin.
- Upon information and belief, Hernandez delivered the orders for Botox, Valuma, 53. Juvederm, Restylane-L, Obagi, and Latisse to Spa Dorin at Respondent's direction.
- All of the dangerous drugs listed, while in the custody and control of Spa Dorin 54. and its employees or agents, were not stored in a secure, locked room or cabinet to which the dispensing practitioner had the only key or lock combination.
- Upon information and belief, Feliz and/or other employees of Spa Dorin had 55. unrestricted access to these dangerous drugs and hypodermic devices.
- 56. Botox, Valuma, Juvederm and Restylane-L are introduced hypodermically, that is, subcutaneously.
- Feliz and/or Spa Dorin and its employees and agents possessed, controlled, stored, 57. prescribed and/or dispensed all the aforementioned dangerous drugs and hypodermic devices without a dispensing license as required by the Pharmacy Board.
- Respondent was not present when Feliz and/or Spa Dorin and its employees and 58. agents possessed, controlled, stored, prescribed and/or dispensed the aforementioned dangerous drugs.

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- hypodermic devices administered and dispensed by Spa Dorin, nor did he ensure that such drugs and hypodermic devices were stored in a secure, locked room or cabinet to which he had the only key or lock combination. The dangerous drugs and hypodermic devices were not dispensed in accordance with NAC 639.745. Respondent did not have a bona fide therapeutic relationship with any of the forty-one patients at issue, nor did he write a prescription for any drugs to any of these forty-one patients, nor was he on-site at the facility or otherwise immediately available when they were prescribed or otherwise administered or dispensed. Respondent personally dispensed none of the drugs dispensed at Spa Dorin's facility, and did not assure that they were dispensed only for medically necessary purposes and according to prevailing standards of care, nor did he assure that a certificate for each dispensing technician employed at the facility was displayed in the room or cabinet in which drugs were stored.
- 60. Respondent supplied dangerous drugs and hypodermic devices so that unqualified persons could circumvent laws pertaining to the legal sale of such articles, and allowed others to dispense and prescribe dangerous drugs to those whom he did not have a bona fide therapeutic relationship.
- Respondent failed to ensure that he had the required ownership or employment relationship with respect to Spa Dorin, and failed to ensure that he had the legal and practical control of Spa Dorin's operations sufficient to effectively control the conduct of its employees and agents in performance of all medical activities as his medical assistants or otherwise, in order to prevent misconduct and ensure that his medical assistants possessed the knowledge, skill and training to perform their tasks safely and properly.

Count I

NRS 630.306(1)(r): Failure to Adequately Supervise

62. All of the allegations in the above paragraphs are hereby incorporated as if fully set forth herein.

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- 63. NRS 630.306(1)(r) provides that a failure to adequately supervise a medical assistant pursuant to the regulations of the Board is an act that constitutes grounds for initiating disciplinary action.
- 64. By the conduct described herein, Respondent failed to adequately supervise, or supervise in any way, Feliz and all other employees or contractors of Spa Dorin in their performance of medical tasks during his time as medical director.
- 65. By reason of the foregoing, Respondent is subject to discipline by the Nevada State Board of Medical Examiners as provided in NRS 630.352.

Count II

NRS 630.305(1)(e): Aiding Practice by Unlicensed Person

- 66. All of the allegations in the above paragraphs are hereby incorporated as if fully set forth herein.
- 67. NRS 630.305(1)(e) provides that the aiding, assisting, employing or advising, directly or indirectly, any unlicensed person to engage in the practice of medicine contrary to the provisions of NRS 630 or the regulations of the Board is an act, among others, that constitutes grounds for initiating disciplinary action.
- 68. By the conduct described herein, to the extent that Respondent either did not delegate medical tasks to Feliz, and Spa Dorin's agents and employees as medical assistants, or to the extent that their actions were not authorized by Respondent, Respondent nonetheless aided, assisted and advised these unlicensed persons, both directly and indirectly, in their engaging in the practice of medicine contrary to the provisions of NRS 630 or the regulations of the Board.
- 69. By reason of the foregoing, Respondent is subject to discipline by the Nevada State Board of Medical Examiners as provided in NRS 630.352.

Count III

NRS 630.3062(1): Failure to Maintain Proper Medical Records

70. All of the allegations in the above paragraphs are hereby incorporated as if fully set forth herein.

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- 71. NRS 630.3062(1) provides that the failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient is an act, among others, that constitutes grounds for initiating disciplinary action.
- 72. Pursuant to NAC 630.830, as delegating practitioner, Respondent is professionally responsible for the safety and performance of each task which is delegated to a medical assistant.
- 73. Respondent and his medical assistants failed to maintain timely, legible, accurate and complete medical records by failing to include patient histories, clinical notes, examination findings, tests performed, procedures performed, dangerous drugs and hypodermic devices used, administered or prescribed, or therapeutic procedures performed that can be attributed to Respondent, among other omissions.
- 74. By reason of the foregoing, Respondent is subject to discipline by the Nevada State Board of Medical Examiners as provided in NRS 630.352.

Count IV

NRS 630.306(1)(b)(3): Engaging in Conduct that Violated Pharmacy Board Regulations

- All of the allegations in the above paragraphs are hereby incorporated as if fully set 75. forth herein.
- 76. NRS 630.306(1)(b)(3) provides that engaging in conduct that violates a regulation adopted by the Pharmacy Board is grounds for initiating disciplinary action.
- 77. By the conduct described herein, Respondent engaged in conduct violates regulations adopted by the Pharmacy Board, specifically including but not limited to, NAC 639.742(1)-(3), (4)(a)-(b), 639.945(1)-(3), and NAC 639.742(1).
- By reason of the foregoing, Respondent is subject to discipline by the Nevada State 78. Board of Medical Examiners as provided in NRS 630.352.

Count V

NRS 630.301(4): Malpractice

- All of the allegations in the above paragraphs are hereby incorporated as if fully set 79. forth herein.
 - NRS 630.301(4) provides that committing malpractice is grounds for disciplinary 80.

action or denying licensure.

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- 81. NAC 630.040 defines malpractice as the failure to use the reasonable care, skill, or knowledge ordinarily used under similar circumstances when treating a patient.
- 82. By the conduct described herein, Respondent committed malpractice with respect to each of the forty-one patients who received medical treatment from Spa Dorin, specifically including but not limited to, by:
 - a. failing to obtain an informed consent or treatment plan agreement, and/or failing to document such;
 - b. failing to perform physical examinations before treating patients and prescribing dangerous drugs, and/or failing to document such examinations;
 - c. failing to identify a diagnosis and define treatment objectives to evaluate treatment progress, and/or failing to document such objectives;
 - d. failing to supervise Feliz and/or any other employees of Spa Dorin while they practiced medicine and performed medical care for forty-one patients under the auspices of Respondent's medical directorship, using Respondent's medical license;
 - e. Failing to exercise effective control over Spa Dorin's operations and the conduct of its employees and agents in performance of all medical activities as medical assistants or otherwise;
 - failing to appropriately keep medical records of patient encounters; and/or
 - failing to personally perform the non-delegable duties of the practice of medicine.
- By reason of the foregoing, Respondent is subject to discipline by the Nevada State 83. Board of Medical Examiners as provided in NRS 630.352.

WHEREFORE, the Investigative Committee prays:

That the Nevada State Board of Medical Examiners give Respondent notice of the 1. charges herein against him and give him notice that he may file an answer to the Complaint herein as set forth in NRS 630.339(2) within twenty (20) days of service of the Complaint;

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- 2. That the Nevada State Board of Medical Examiners set a time and place for a formal hearing after holding an Early Case Conference pursuant to NRS 630.339(3);
- 3. That the Nevada State Board of Medical Examiners determine the sanctions it will impose if it finds Respondent violated the Medical Practice Act;
- 4. That the Nevada State Board of Medical Examiners make, issue and serve upon the Respondent, in writing, its findings of fact, conclusions of law and order, in writing which shall include the sanctions imposed; and
- 5. That the Nevada State Board of Medical Examiners take such other and further action as may be just and proper in these premises.

DATED this day of November, 2017.

> INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

Robert Kilroy, Esq., General Counsel

Aaron Bart Fricke, Esq., Deputy General Counsel Donald K. White, Esq., Deputy General Counsel

Attorneys for the Investigative Committee

OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

VERIFICATION

STATE OF NEVADA)
COUNTY OF CLARK	: ss.

Rachakonda D. Prabhu, M.D., hereby deposes and states under penalty of perjury under the laws of the state of Nevada that she is the Chairman of the Investigative Committee of the Nevada State Board of Medical Examiners that authorized the foregoing Complaint against the Respondent herein; that he has read the foregoing Complaint; and based upon information discovered during the course of the investigation into a complaint against Respondent, he believes the allegations and charges in the foregoing Complaint against Respondent are true, accurate and correct.

Dated this Handay of November, 2017.

Dhebly Achallstda, Rachakonda D. Prabhu, M.D.

OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

CERTIFICATE OF SERVICE

I hereby certify that I am employed by the Nevada State Board of Medical Examiners and that on the 7th day of November, 2017, I served a file-stamped copy of the COMPLAINT and FINGERPRINT INFORMATION, via USPS e-certified return receipt mail (9171 9690 0935 0040 5783 13) to the following:

Michael C. Braunstein, M.D. 939 S. Decatur Blvd. Las Vegas, NV 89107

DATED this 7th day of November, 2017.

Kimberly Rosling, Employee