BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

* * * * *

In the Matter of Charges and) Case No. 13-38462-1
Complaint Against) FILED
RICHARD G. MAXWELL, M.D.,) DEC 1 1 2013
Respondent.	NEVADA STATE BOARD OF MEDICAL EXAMINERS By:

SETTLEMENT AGREEMENT

THIS AGREEMENT is hereby entered into by and between the Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board), composed at the time of filing the formal Complaint of Theodore B. Berndt, M.D., Chairman, Valerie J. Clark, BSN, RHU, LUTCF, Member, and Michael J. Fischer, M.D., Member, in the above-captioned matter, by and through Erin L. Albright, Esq., Board Deputy General Counsel and attorney for the IC, and Richard G. Maxwell, M.D. (Respondent), as follows:

WHEREAS, on March 19, 2013, the Board's IC filed a formal Complaint in the above-captioned matter charging Respondent with engaging in conduct that is grounds for discipline pursuant to the Nevada Medical Practice Act (MPA), i.e., Chapter 630 of the Nevada Revised Statutes (NRS) and Chapter 630 of the Nevada Administrative Code (NAC), to wit: Count I, two counts of disciplinary action, including, without limitation, the revocation, suspension, modification or limitation of a license to practice any type of medicine, taken by another state against a licensee, two violations of NRS 630.301(3), and Count II, two counts of failure by the licensee to report in writing, within thirty (30) days, any disciplinary action taken against the licensee by another state, two violations of NRS 630.306(11); and

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WHEREAS, Respondent received a copy of the formal Complaint, reviewed it, understands it, and has had the opportunity to consult with competent counsel concerning the nature and significance of the formal Complaint. Respondent is fully advised concerning his rights and defenses to the formal Complaint, as well as the possible sanctions that may be imposed if the Board finds and concludes that he violated one or more provisions of the MPA; and

WHEREAS, Respondent understands and agrees that he has certain rights under the United States Constitution and the Constitution of the state of Nevada, as well as under the MPA and the Nevada Administrative Procedures Act (NRS Chapter 233B), including, but not limited to. the right to a formal hearing on the charges against him, the right to representation by counsel in the preparation and presentation of his defense, the right to confront and cross-examine the witnesses against him, the right to written findings, conclusions and an order regarding a final decision by the Board, and the right to judicial review of any final decision by the Board that is adverse to him; and

WHEREAS, Respondent understands and agrees that this Settlement Agreement (Agreement) is entered into by and between himself and the Board's IC, and not with the Board. but that the IC will present this Agreement to the Board for consideration in open session at a meeting duly noticed and scheduled. Respondent understands that the IC shall advocate approval of this Agreement by the Board, but that the Board has the right to decide in its own discretion whether or not to approve this Agreement; and

WHEREAS, Respondent understands and agrees that if the Board approves the terms, covenants and conditions of this Agreement, then the terms, covenants and conditions enumerated below shall be binding and enforceable upon him.

NOW THEREFORE, in order to resolve this matter and all charges alleged by the Board's IC in the above-captioned matter, Respondent and the IC hereby agree¹ to the following terms, covenants and conditions:

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¹ All agreements and admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, said agreements and admissions by Respondent are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, state or federal civil or criminal proceeding, any state or federal court proceeding, or any credentialing or privileges matter.

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1. Jurisdiction. Respondent is, and at all times mentioned in the formal Complaint filed in the above-captioned matter was, a physician licensed to practice medicine in the state of Nevada subject to the jurisdiction of the Board to hear and adjudicate charges of violations of the MPA, and to impose sanctions as provided by the MPA.

2. Representation by Counsel/Knowing, Willing and Intelligent Agreement.

Respondent acknowledges that he is not represented by counsel and wishes to proceed towards a resolution of this matter, as set forth in this Agreement, without counsel. Respondent understands and acknowledges that he may retain and consult counsel prior to entering into this Agreement. Respondent agrees that if counsel is retained for representation in this matter prior to entering into this Agreement, that counsel for the IC will be informed of such representation prior to Respondent executing this Agreement. Respondent covenants and agrees that he enters into this Agreement knowingly, willingly and intelligently.

3. Waiver of Rights. In connection with this Agreement, and the terms, covenants and conditions contained herein, Respondent knowingly, willingly and intelligently waives all rights in connection with this Agreement, and the terms, covenants and conditions contained herein, and with the understanding that Respondent knowingly, willingly and intelligently waives all rights arising under or pursuant to the United States Constitution, the constitution of the state of Nevada, the MPA, NRS Chapter 233B, and any other statutory rights that may be available to him or that may apply to him in connection with the proceedings on the formal Complaint filed herein, the defense of said formal Complaint, the adjudication of the charges in said formal Complaint, and the imposition of sanctions.

Respondent agrees that the matter of the formal Complaint herein may be settled and resolved in accordance with this Agreement without a hearing or any further proceedings, and without the right to judicial review.

4. Acknowledgement of Reasonable Basis to Proceed. Respondent covenants and agrees that the Board's IC has a reasonable basis to believe that Respondent engaged in one or more instances of conduct that is grounds for discipline pursuant to the provisions of the MPA.

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approval of this Agreement;

2	proceedings pending against him without incurring any further costs and expense of providing a	
3	defense to the formal Complaint, Respondent hereby agrees that the Board may issue an Order	
4	finding that Respondent engaged in conduct that is grounds for discipline pursuant to the MPA,	
5	wit: one count of failure by a licensee to report in writing, within thirty (30) days, any	
6	disciplinary action taken against the licensee by another state, as set forth in Count II of the forma	
7	Complaint and agrees that:	
8	a. Respondent shall pay a fine of Two Hundred and 00/100 Dollars (\$200.00)	
9	to the Board within thirty (30) days of the Board's acceptance, adoption and approval of this	
10	Agreement;	
11	b. Pursuant to NRS 622.400, Respondent shall reimburse the sum of Eight	

- c. Count I of the formal Complaint shall be dismissed;
- d. One count of Count II of the formal Complaint shall be dismissed; and

Consent to Entry of Order. In order to resolve the matter of these disciplinary

e. The terms of this Agreement shall be reported as required by law.

Hundred and 00/100 Dollars (\$800.00), the current amount of the costs incurred by the Board to

investigate and prosecute this matter, along with the costs to conclude the matter, if any. The

costs shall be paid to the Board within thirty (30) days of the Board's acceptance, adoption and

6. Release From Liability. In execution of this Agreement, the Respondent, for himself, his executors, successors and assigns, hereby releases and forever discharges the state of Nevada, the Board, the Nevada Attorney General, and each of their members, agents and employees in their representative capacities, and in their individual capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have or claim to have, against any or all of the persons or entities named in this paragraph arising out of, or by reason of, this investigation, this Agreement or its administration.

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Procedure for Adoption of Agreement. The IC and counsel for the IC shall 7. recommend approval and adoption of the terms, covenants and conditions contained herein by the Board in resolution of the formal Complaint pending herein against Respondent. In the course of seeking Board approval, adoption and/or acceptance of this Agreement, counsel for the IC may communicate directly with the Board staff and the adjudicating members of the Board.

Respondent acknowledges that such contacts and communication may be made or conducted ex parte, without notice or opportunity to be heard on his part or on the part of his counsel, if any, until the public Board meeting where this Agreement is discussed, and that such contacts and communications may include, but not be limited to, matters concerning this Agreement, the formal Complaint, and any and all information of every nature whatsoever related to the formal Complaint or the proceedings herein against Respondent. The IC and its counsel agree that Respondent and/or his counsel, if any, may appear at the Board meeting where this Agreement is discussed, and if requested, respond to any questions that may be addressed to the IC or its counsel.

- 8. Effect of Acceptance of Agreement by Board. In the event the Board approves, accepts and adopts the terms, covenants and conditions set out in this Agreement, counsel for the IC will cause the Board's order accepting, adopting and approving this Agreement to be entered herein, ordering full compliance with the terms herein and ordering that this case be closed. subject to the provisions in Paragraph 5.
- 9. Effect of Rejection of Agreement by Board. In the event the Board does not accept, approve and adopt the terms, covenants and conditions set out in this Agreement, this Agreement shall be null, void, and of no further force and effect except as to the following covenant and agreement regarding disqualification of adjudicating Board panel members. Respondent agrees that, notwithstanding rejection of this Agreement by the Board, nothing contained herein and nothing that occurs pursuant to efforts of the IC or its counsel to seek acceptance and adoption of this Agreement by the Board shall disqualify any member of the adjudicating panel of the Board from considering the charges against Respondent and participating in the disciplinary proceedings in any role, including adjudication of the case.

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Respondent further agrees that he shall not seek to disqualify any such member absent evidence of bad faith.

- 10. Binding Effect. If this Agreement is approved by the Board, Respondent covenants and agrees that this Agreement is a binding and enforceable contract upon Respondent and the Board's IC, which contract may be enforced in a court or tribunal having jurisdiction.
- Forum Selection Clause. Respondent covenants and agrees that in the event 11. either party is required to seek enforcement of this Agreement in the district court, he consents to such jurisdiction, and covenants and agrees that exclusive jurisdiction shall be in the Second Judicial District Court of the state of Nevada in and for the county of Washoe.
- 12. Attorneys' Fees and Costs. Respondent covenants and agrees that in the event an action is commenced in the district court to enforce any provision of this Agreement, the prevailing party shall be entitled to recover costs and reasonable attorneys' fees.
- Failure to Comply With Terms. In the event the Board enters its order approving 13. this Agreement, should Respondent fail to comply with any term or condition recited herein, the Board shall be authorized to immediately suspend Respondent's license to practice medicine in the state of Nevada pending an order to show cause hearing, which will be duly noticed. Further, failure to comply with the terms recited herein may result in additional disciplinary action being initiated against Respondent for a violation of an order of the Board in accordance with ///

1	NRS 630.3065(2)(a). Moreover, the failure of	Respondent to reimburse the Board for monies
2	agreed to be paid as a condition of settlement may	
3	Dated this 36 day of October, 2013.	Dated this 30 day of 0, 2013.
4		Understood and Agreed:
5	- Quality	Pro Mil
6	By: Erin L. Albright, Esq.	Richard G. Maxwell, M.D.
7	Attorney for the Investigative Committee	Respondent
8		STATE OF UTAH) :ss.
9		COUNTY OF Ulah)
10	NOTARY PUBLIC	SUBSCRIBED and SWORN to before me
11	JESUS ARTURO OLIVAS Commission No. 861186 Commission Expires	This 3. day of 0 2013.
12	HOVEMBER 29, 2014 STATE OF UTAH	
13		Jase (Sto CO)
14		Notary Public
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IT IS HEREBY ORDERED that the foregoing Settlement Agreement is approved and accepted by the Nevada State Board of Medical Examiners on the 6th day of December 2013, with the final total amount of costs due of \$800.00.

Michael J. Pischer, M.D., President

NEVADA STATE BOARD OF MEDICAL EXAMINERS