## OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

### BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

\* \* \* \* \*

In the Matter of Charges and	) Case No. 12-4879-1
Complaint Against	} FILED
JOHN JOSEPH DUDEK, JR., M.D.,	NOV 0 4 2013
Respondent.	NEVADA STATE BOARD OF MEDICAL EXAMINERS  By:

### FIRST AMENDED COMPLAINT

The Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board) composed, at the time filing was approved, of Theodore B. Berndt, M.D., Ms. Valerie J. Clark, BSN, RHU, LUTCF, and Michael J. Fischer, M.D., having a reasonable basis to believe that John Joseph Dudek, Jr., M.D. (Respondent), has violated the provisions of Nevada Revised Statutes (NRS) Chapter 630, hereby issues its formal Complaint, stating the IC's charges and allegations, as follows:

- 1. Respondent is licensed to practice medicine in the state of Nevada (License No. 3293), and was originally licensed by the Board on March 13, 1977, pursuant to the provisions of Chapter 630 of the NRS.
- 2. Respondent practices urology at 2020 Goldring Avenue, Suite 506, in Las Vegas, Nevada.
- 3. Respondent rents space within his office to Riveka Frey, R.N., aka Reva Frey, who owns and operates her independent business, "Facial Enhancements by Reva," in the office space rented from Respondent.
- 4. Respondent purportedly acts in the capacity as "medical director" for Ms. Frey's practice and is available if there is a medical issue that arises from Ms. Frey's procedures.

2.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

However, Ms. Frey performs evaluations of the patients upon which she performs procedures and determines the treatment to be provided, including which dangerous drug(s) to administer to patients, independently from Respondent. Pursuant to NRS 454.213, as applied to this matter, dangerous drugs may only be possessed and administered by a licensed practitioner, as defined by NRS 454.00958, or they may be administered by a registered nurse at the direction of a prescribing physician. Ms. Frey's patients are not evaluated by Respondent nor are they prescribed dangerous drugs by Respondent.

- 5. Respondent, until very recently, knowingly allowed Ms. Frey to order dangerous drugs, including, but not limited to, Botox, Restylane, Juvederm and other dangerous drugs used commonly in cosmetic procedures, through his account with various drug wholesalers. Ms. Frey would indicate to Respondent's office manager what drugs she wished to order and would pay for them with her credit card. Respondent signed an acknowledgment for receipt of the drugs after delivery of the drugs to his office and the drugs would be turned over to Ms. Frey.
- The dangerous drugs ordered by Ms. Frey and delivered to Respondent's office included Latisse, a drug that is to be dispensed only. Pursuant to NRS 454.215(3), a licensed physician may only dispense a dangerous drug if authorized by the Nevada State Board of Pharmacy in accordance with NRS 639.23505. Respondent does not, nor has he ever, held a certificate of registration from the Nevada State Board of Pharmacy to dispense controlled substances or dangerous drugs in the state of Nevada. Ms. Frey was not legally authorized to dispense any dangerous drugs either.
- On or about February 21, 2013, Respondent applied for, and subsequently received, 7. a dispensing license from the Nevada State Board of Pharmacy.
- 8. On May 9, 2013, Ms. Frey entered into an Agreement for Reprimand with the Nevada State Board of Nursing. In that Agreement, Ms. Frey admitted that from approximately April 2011 through March 9, 2012, she worked in Respondent's office as a Licensed Professional Nurse performing cosmetic procedures.
- 9. Ms. Frey further admitted that she failed to maintain and/or produce written protocols for procedures that she performed as required by law.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- Ms. Frey also admitted that Respondent denied having knowledge of orders placed 10. for injectable hyaluronic acid (Restylane) and other prescription medications used for the procedures she performed.
- 11. Ms. Frey further admitted that her conduct constituted a violation of NRS 632.320(1)(g), unprofessional conduct, a violation of NAC 632.890(2), practicing beyond scope of practice, and a violation of NAC 632.890(27), for failing to perform nursing functions in a manner consistent with established or customary standards.
- 12. Significantly, Respondent is not a trained dermatologist or plastic surgeon and has little or no experience or training in cosmetic procedures, including the performance of facial cosmetic procedures, and therefore would not be qualified to have performed the evaluations or make the diagnoses and treatment determinations for those patients that saw Ms. Frey in her independent practice operating in Respondent's office.
- 13. Patient A was a sixty-one (61)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 14. Patient A saw Ms. Frey for an injection of Botox, 20 units, on March 23, 2012. The medical records do not include any history and/or physical, nor do the records accurately depict Patient A's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient A.
- 15. Patient B was a thirty-six (36)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 16. Patient B saw Ms. Frey for an injection of Restylane, hyaluronic acid, on March 20, 2012. Then, on March 22, 2012, Ms. Frey injected Patient B with 1 syringe of Radiesse. The medical records do not include any history and/or physical, nor do the records accurately depict Patient B's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient B.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

///

- 17. Patient C was a sixty-six (66)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- Patient C saw Ms. Frey for an injection of Restylane, hyaluronic acid, 2 units, into 18. her lips on March 22, 2012. The medical records do not include any history and/or physical, nor do the records accurately depict Patient C's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient C.
- 19. Patient D was a fifty-two (52)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 20. Patient D saw Ms. Frey for an injection of Dysport, 60 units, into her forehead on March 22, 2012. The medical records do not include a complete history and/or physical, nor do the records accurately depict Patient D's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient D.
- 21. Patient E was a fifty-five (55)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 22. Patient E saw Ms. Frey for an injection of Radiesse, 3 syringes, to her face on March 20, 2012. The medical records do not include any history and/or physical, nor do the records accurately depict Patient E's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient E.
- 23. Patient F was a forty-six (46)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 24. Patient F saw Ms. Frey for an injection of Dysport, 60 units, into her face on March 22, 2012. The medical records do not include a complete history and/or physical, nor do

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

the records accurately depict Patient F's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient F.

- Patient G was a female of unknown age at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 26. Patient G saw Ms. Frey for multiple injections from August 8, 2010, to December 21, 2011. Patient G was injected with Botox, 50 units, on August 8, 2010. She was injected with Radiesse, 2 syringes, and Botox, 50 units, on January 12, 2011. She was injected with an unknown substance on January 25, 2011. She was injected with Botox, 50 units, on April 25, 2011. She was injected with Botox, 50 units, on July 13, 2013. She was injected with Dysport, unknown quantity, on October 15, 2011. She was injected with Dysport and Radiesse, unknown quantities, on December 21, 2011.
- 27. The medical records do not include any history and/or physical, nor do the records accurately depict Patient G's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient G.
- 28. Patient H was a male or female of unknown age at the time of the incidents in question. Patient H's true identity is not disclosed to protect privacy, but the identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 29. Patient H saw Ms. Frey for an injection of Dysport, 25 units on November 30, 2011. Patient H also saw Ms. Frey for an injection of Dysport, 60 units, on March 22, 2012. The medical records do not include any history and/or physical, nor do the records accurately depict Patient H's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient H.
- 30. Patient I was a twenty-eight (28)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 31. Patient I saw Ms. Frey for an injection of Dysport, 60 units, into her forehead on March 22, 2012. The medical records do not include any history and/or physical, nor do the

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

records accurately depict Patient I's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient I.

- 32. Patient J was a thirty-four (34)-year-old female at the time of the incidents in question. Her true identity is not disclosed to protect her privacy, but her identity is disclosed in the Patient Designation served on Respondent along with a copy of this Complaint.
- 33. Patient J saw Ms. Frey for an injection of Dypsort, an unknown quantity, on March 22, 2012. The medical records do not include any history and/or physical, nor do the records accurately depict Patient J's current medical and/or physical condition. Furthermore, it appears from the records that at no time did Respondent see, examine and/or treat Patient J.

### Count I

- 34. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- NRS 630.305(1)(e) provides that aiding, assisting, employing or advising, directly or indirectly, any unlicensed person to engage in the practice of medicine is grounds for the initiation of discipline.
- 36. NRS 630.020(1) states that the practice of medicine means "[t]o diagnose, treat, correct, prevent or prescribe for any human disease, ailment, injury, infirmity, deformity or other condition...by any means or instrumentality."
- 37. Respondent allowed and assisted an unlicensed individual, directly and indirectly, from at least April 2011 to March 9, 2012, and with Patients A-J, to engage in the practice of medicine when he knowingly allowed Ms. Frey to operate her own independent practice out of his office from which she independently evaluated and treated patients and administered dangerous drugs, which is the practice of medicine.
- 38. Accordingly, Respondent is in violation of NRS 630.305(1)(e) and is subject to discipline by the Board as provided in NRS 630.352.

/// 26

27 ///

28 ///

## Nevada State Board of Medical Examiners

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

### Count II

### (Multiple Counts)

- 39. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 40. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 41. As indicated above, NRS 454.213, as applied to this matter, provides that dangerous drugs may only be possessed and administered by a licensed practitioner or by a registered nurse at the direction of a prescribing physician.
- 42. Respondent knowingly allowed Ms. Frey to possess and administer dangerous drugs from at least April 2011 to March 9, 2012, and to Patients A-J, patients for whom he had not evaluated, diagnosed, nor prescribed dangerous drugs.
- 43. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### **Count III**

### (Multiple Counts)

- 44. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 45. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 46. As indicated above, NRS 454.215 provides that a licensed physician may only dispense a dangerous drug if authorized by the Nevada State Board of Pharmacy in accordance with NAC 639.742(1).
- 47. NRS 639.23505 provides that a practitioner shall not dispense for human consumption any controlled substance or dangerous drug unless the practitioner obtains a certificate from the Nevada State Board of Pharmacy and issues a written prescription.

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

- 48. Ms. Frey, from at least April 2011 to March 9, 2012, and with Patients A-J. dispensed Botox, Dysport, Radiesse, Restylane, Juvederm and Latisse, all dangerous drugs, which she ordered and obtained possession of through Respondent's account with drug wholesalers, and which Ms. Frey was not lawfully authorized to dispense. Neither was Respondent, as he did not, until February 21, 2013, hold a certificate of registration to dispense from the Nevada State Board of Pharmacy.
- 49. Accordingly, due to Respondent allowing a dangerous drug to be dispensed in a manner not authorized by law, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### **Count IV**

### (Multiple Counts)

- 50. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 51. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- NAC 639.742(3)(a) provides that only a licensed dispensing practitioner can order controlled substances or dangerous drugs.
- 53. Ms. Frey and Respondent both admitted that from at least April 2011 to March 9. 2012, and with Patients A-J, as well as Respondent admitted, that Ms Frey ordered Botox, Dysport, Radiesse, Restylane, Juvederm and Latisse. Respondent at no time ordered these dangerous drugs as required by law.
- 54. Accordingly, due to Respondent allowing Ms. Frey to order the aforementioned dangerous drugs in a manner not authorized by law, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

/// 26

27 ///

28 ///

# Nevada State Board of Medical Examiners

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

///

### Count V

### (Multiple Counts)

- 55. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 56. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 57. NAC 639.742(3)(b) provides that only a licensed dispensing practitioner can receive and account for the controlled substances or dangerous drugs.
- 58. Respondent, from at least April 2011 to March 9, 2012, and with Patients A-J, allowed Ms. Frey to receive and account for the Botox, Dysport, Radiesse, Restylane, Juvederm and Latisse. Furthermore, Respondent was not responsible to receive and account for the aforementioned dangerous drugs as required by law.
- 59. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### Count VI

### (Multiple Counts)

- All of the allegations contained in the above paragraphs are hereby incorporated by 60. reference as though fully set forth herein.
- 61. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 62. NAC 639.742(3)(c) provides that all controlled substances or dangerous drugs are to be stored in a secure, locked room or cabinet to which the dispensing practitioner has the only key or lock combination.
- 63. Respondent, from at least April 2011 to March 9, 2012, and with Patients A-J, allowed Ms. Frey, and others, to have free and easy access to Botox, Dysport, Radiesse, Restylane,

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Juvederm and Latisse. Thus, Respondent did not have the only key or lock combination to a secure, locked room or cabinet as required by law.

64. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### **Count VII**

### (Multiple Counts)

- 65. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 66. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- NAC 639.742(3)(e) provides that no prescription is to be dispensed to a patient 67. unless the dispensing practitioner is on-site at the facility.
- 68. Respondent, from at least April 2011 to March 9, 2012, and with Patients A-J. allowed Ms. Frey, on numerous occasions, to dispense Botox, Dysport, Radiesse, Restylane, Juvederm and Latisse to patients when Respondent was not on site at the facility.
- 69. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### **Count VIII**

### (Multiple Counts)

- 70. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 71. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 72. NAC 639.742(4)(a) provides that only the dispensing practitioner may enter the room or cabinet in which drugs are stored.

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

- 73. Respondent, from at least April 2011 to March 9, 2012, and with Patients A-J. allowed Ms. Frey, and others, to access the room or cabinet storing the Botox, Dsyport, Radiesse, Restylane, Juvederm and Latisse on numerous occasions.
- 74. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### Count IX

### (Multiple Counts)

- 75. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 76. NRS 630.306(3) provides that administering, dispensing or prescribing a controlled substance or dangerous drug except as authorized by law is grounds for initiating discipline against a licensee.
- 77. NAC 639.742(4)(b) provides that only the dispensing practitioner may remove drugs from stock.
- 78. Respondent, from at least April 2011 to March 9, 2012, and with Patients A-J. allowed Ms. Frey, and others, to remove Botox, Dysport, Radiesse, Restylane, Juvederm and Latisse from stock on numerous occasions.
- 79. Accordingly, Respondent is in violation of NRS 630.306(3) and is subject to discipline by the Board as provided in NRS 630.352.

### Count X

- All of the allegations contained in the above paragraphs are hereby incorporated by 80. reference as though fully set forth herein.
- 81. NRS 630.306(5) provides that practicing or offering to practice beyond the scope permitted by law or performing services which the licensee knows or has reason to know that he is not competent to perform or which are beyond the scope of his training is grounds for initiating discipline against a licensee.
- 82. Respondent, a Urologist and not a Dermatologist or Plastic Surgeon, has little or no training or experience in the performance of facial cosmetic procedures and accordingly, if

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Respondent did evaluate or prescribe dangerous drugs for the purpose of facial procedures for any of Ms. Frey's patients/clients, he was practicing beyond the scope of his training and was not competent to perform said procedures. Nor was he competent to act as the Medical Director and adequately supervise Ms. Frey, from at least April 2011 to March 9, 2012, and with Patients A-J.

Accordingly, Respondent is in violation of NRS 630.306(5) and is subject to discipline by the Board as provided in NRS 630.352.

### Count XI

### (Ten Counts)

- 84. All of the allegations contained in the above paragraphs are hereby incorporated by reference as though fully set forth herein.
- 85. NRS 630.3062(1) provides that the failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient is grounds for initiating disciplinary action against a licensee.
- 86. Respondent failed to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of Patients A-J
- 87. By reason of the foregoing, Respondent is subject to discipline by the Nevada State Board of Medical Examiners as provided in NRS 630.352.

### **WHEREFORE**, the IC prays:

- 1. That the Board give Respondent notice of the charges herein against him and give him notice that he may file an answer to the First Amended Complaint herein as set forth in NRS 630.339 within twenty (20) days of service of the First Amended Complaint;
- 2. That the Board set a time and place for a formal hearing after holding an Early Case Conference pursuant to NRS 630.339(3);
- 3. That the Board determine what sanctions it determines to impose if it determines there has been a violation or violations of the NRS 630 committed by Respondent;
- 4. That the Board make, issue and serve on Respondent its findings of fact, conclusions of law and order, in writing, that includes the sanctions imposed; and

### OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502

	1	
	2	
	3	
	4	
	5	
	6	
	7	
	8	-
	9	
	10	
	11	
	12	
55	13	
7-889 (	1.4	
(//)	15	
	16	
	17	
	18	
	19	
	20	
	21	
	22	
	23	
	24	
	25	
	26	
	27	

28

5.	That the Board take	such other and	further action as	may be just and proper in	these
premises.	An				
70 4 MIN	4/		/		

INVESTIGATIVE COMMITTEE OF THE NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

Bradley O. Van Ry, Esq. General Counsel Counsel for the Investigative Committee

### OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 (775) 688-2559

### **VERIFICATION**

STATE OF NEVADA ) : ss. COUNTY OF WASHOE THEODORE B. BERNDT, M.D., having been duly sworn, hereby deposes and states DATED this 4th day of November 

under penalty of perjury that he is the Chairman of the Investigative Committee of the Nevada
State Board of Medical Examiners that authorized the First Amended Complaint against the
Respondent herein; that he has read the foregoing First Amended Complaint; and that based upon
nformation discovered in the course of the investigation into a complaint against Respondent, he
believes that the allegations and charges in the foregoing First Amended Complaint agains
Respondent are true, accurate, and correct.

THEODORE B. BERNDT, M.D.

### OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

### 

### **CERTIFICATE OF SERVICE**

I hereby certify that I am employed by Nevada State Board of Medical Examiners and that on 4<sup>th</sup> day of November 2013; I served a filed copy of the FIRST AMENDED COMPLAINT & PATIENT DESIGNATION, via USPS e-certified return receipt mail to the following:

Kermitt L. Waters, Esq. 704 South 9<sup>th</sup> Street Las Vegas, NV 89101

Jill Greiner, Esq. Hearing Officer 2915 Sagittarius Dr. Reno, NV 89509

Dated this 4<sup>th</sup> day of November, 2013.

Angelia L. Donohoe Legal Assistant