## OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 (775) 688-2559

## BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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In the Matter of Charges and

Complaint Against

James B. Gabroy, M.D.,

Respondent.

Case No. 15-10986-1

FILED

OCT 2 3 2015

NEVADA STATE BOARD OF MEDICAL EXAMINERS By:

## **COMPLAINT**

The Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board) hereby issues this formal Complaint (Complaint) against James B. Gabroy (Respondent), a licensed physician in Nevada. After investigating this matter, the IC has a reasonable basis to believe that Respondent has violated provisions of Nevada Revised Statutes (NRS) Chapter 630 and the Nevada Administrative Code (NAC) Chapter 630 (collectively Medical Practice Act). The IC alleges the following facts:

## A. Respondent's Licensure Status

1. Respondent was licensed by the Board, pursuant to the provisions of the Medical Practice Act, on October 30, 1995, and is currently licensed in active status (License No. 7601).

## B. Respondent's Patients

2. Patient A was a 42-year-old female at the time of the incidents in question. Her true identity is not disclosed in this Complaint to protect her identity, but her identity is disclosed in the Patient Designation contemporaneously served on Respondent with a copy of this Complaint.

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3.	Patient B was a 60-year-old male at the time of the incidents in question.	His true
identity is not	disclosed in this Complaint to protect his identity, but his identity is disclosed	ed in the
Patient Desig	nation contemporaneously served on Respondent with a copy of this Compla	iint.

4. Patient C was a 54-year-old female at the time of the incidents in question. Her true identity is not disclosed in this Complaint to protect her identity, but her identity is disclosed in the Patient Designation contemporaneously served on Respondent with a copy of this Complaint.

### C. Standards for Medical Record Documentation

- 5. Medical records should be complete and legible.
- The documentation of each patient encounter should include: a) the reason for the 6. encounter; b) the findings of the physical examination and prior diagnostic test results; c) the assessment, clinical impression or diagnosis; d) the plan for care; e) the date of the service and legible identify of the observer; f) identification of appropriate risk factors; g) the patient's progress, response to and changes in treatment; and h) any revisions in the diagnosis of the patient.

### D. Respondent's Medical Records for Patients A Through C

- 7. The medical records for Patients A through C are not accurate, legible and/or complete.
- 8. The medical records have dates crossed out and new dates entered. This is present on both progress notes and encounter forms. Some progress notes and encounter forms have two or more dates with neither date crossed out; therefore, it is impossible to determine the date of the encounter and/or office visit.
- Progress notes contain the medical assistant's statement explaining the basis for the 9. patient's appointment; however, there are no subjective statements from Respondent contained on the progress notes.
- Many of the words and phrases written on the progress notes and encounter forms 10. are illegible.

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- 11. The medical records failed to contain a history, which should include the patient's family history, social history and past medical history.
- 12. NRS 630.3062(1) provides that the failure to maintain timely, legible, accurate and complete medical records relating to the diagnosis, treatment and care of a patient is grounds for initiating discipline against a licensee.
- Based on the foregoing allegations, Respondent failed to maintain timely, legible, 13. accurate and complete medical records relating to the diagnosis, treatment and care of Patients A through C when he crossed out old dates and wrote new dates on the patients' progress notes and encounter forms, when he wrote two or more dates on the patients' progress notes and encounter forms, leaving it difficult to determine the date of the encounter and/or office visit, when he failed to enter his subjective statements regarding the reason for the patients' appointments in the progress notes and encounter forms, when he wrote illegible words and phrases on the patients' progress notes and encounter forms and when he failed to document the patients' family history, social history and past medical history in the patients' medical charts.
- 14. By reason of the foregoing, Respondent is subject to discipline by the Board as provided in NRS 630.352.

## WHEREFORE, the IC prays:

- That the Board give Respondent notice of the charges herein against him and give 1. him notice that he may file an answer to the Complaint herein as set forth in NRS 630.339(2) within twenty (20) days of service of the Complaint;
- That the Board set a time and place for a formal hearing after holding an Early 2. Case Conference pursuant to NRS 630.339(3);
- That the Board determine what sanctions to impose if it finds and concludes that 3. there has been a violation or violations of the Medical Practice Act committed by Respondent;
- That the Board make, issue and serve on Respondent its findings of fact, 4. conclusions of law and order, in writing, to include sanctions to be imposed; and

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# OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners 1105 Terminal Way #301 Reno, Nevada 89502 (775) 688-2559

	5.	Γhat the	Board t	ake suc	h other a	nd furth	er actio	n as may	be just a	and prop	per in thes	e
premis	es. DATED	this $\underline{\mathcal{A}}$	3 day	of Octo	ber, 2015	5.						
		By: <u>/</u>	NEVAD Ling Erin L. A General	A STAT	Ulin	RD OF I	MEDICA	AL EXA	MINERS	<b>S</b>		

## OFFICE OF THE GENERAL COUNSEL

correct.

the allegations and charges in the foregoing Complaint against Respondent are true, accurate and

1		VERIFICATION
2	STATE OF NEVADA )	
3	COUNTY OF WASHOE )	SS.
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5	Theodore B. Berndt, M.	i.D., hereby deposes and states under penalty of perjury under the
6	laws of the state of Nevada	that he is the Chairman of the Investigative Committee of the
7	Nevada State Board of Medic	al Examiners that authorized the foregoing Complaint against the
8	Respondent herein; that he ha	s read the foregoing Complaint; and that based upon information
9	discovered during the course of	f the investigation into a complaint against Respondent, he believes

Dated this 23 day of October, 2015.

## OFFICE OF THE GENERAL COUNSEL Nevada State Board of Medical Examiners

## **CERTIFICATE OF MAILING**

I hereby certify that I am employed by Nevada State Board of Medical Examiners and that on 27<sup>th</sup> day of October 2015; I served a file stamp copy of COMPLAINT, PATIENT DESIGNATION & FINGERPRINT INFORMATION, via USPS e-certified return receipt mail to the following:

James Gabroy, M.D. 1535 W. Warm Springs Rd., Ste. 135 Henderson, NV 89011

Dated this 27<sup>th</sup> day of October, 2015.

Angelia L. Donohoe Legal Assistant

## BEFORE THE BOARD OF MEDICAL EXAMINERS OF THE STATE OF NEVADA

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Against:

JAMES B. GABROY, M.D.,

Respondent.

In the Matter of Charges and Complaint

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Case No. 15-10986-1 FILED

MAR 0 3 2023

NEVADA STATE BOARD OF MEDICAL EXAMINERS

SETTLEMENT AGREEMENT

The Investigative Committee (IC) of the Nevada State Board of Medical Examiners (Board), by and through its counsel, Sarah A. Bradley, Deputy Executive Director, and Brandee Mooneyhan, Deputy General Counsel; and James B. Gabroy, M.D. (Respondent), a licensed physician in Nevada, assisted by his attorney, Colleen Platt, Esq., of the Platt Law Group, hereby enter into this Settlement Agreement (Agreement) based on the following:

## A. BACKGROUND

- 1. Respondent is a medical doctor currently licensed in active status by the Board pursuant to Chapter 630 of the Nevada Revised Statutes (NRS) and Chapter 630 of the Nevada Administrative Code (NAC) (collectively, the Medical Practice Act) to practice medicine in Nevada. His license was originally issued on October 30, 1995 (License No. 7601).
- 2. On October 23, 2015, in Case No. 15-10986-1, the IC filed a formal Complaint (Complaint) charging Respondent with violating the Medical Practice Act. Specifically, the Complaint alleged that with respect to three (3) patients, Respondent failed to maintain timely, legible, accurate and complete medical records relating to the patients' diagnosis, treatment and care, which violates NRS 630.3062(1)(a).

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<sup>&</sup>lt;sup>1</sup> All agreements and admissions made by Respondent are solely for final disposition of this matter and any subsequent related administrative proceedings or civil litigation involving the Board and Respondent. Therefore, Respondent's agreements and admissions are not intended or made for any other use, such as in the context of another state or federal government regulatory agency proceeding, state or federal civil or criminal proceeding, any state or federal court proceeding, or any credentialing or privileges matter.

- 3. On May 11, 2018, the Board entered a disposition entitled "Findings of Fact, Conclusions of Law, and Order," in which the Board found by a preponderance of the evidence that Respondent violated NRS 630.3062(1), as alleged in the Complaint, and set forth discipline to be imposed upon Respondent.
- 4. On June 7, 2018, Respondent filed a Petition for Judicial Review in the Second Judicial District Court, Case No. CV18-01159, appealing the Board's May 11, 2018, Findings of Fact, Conclusions of Law, and Order. Ultimately, on July 8, 2022, the Second Judicial District Court entered an Order Denying Petition for Judicial Review.<sup>2</sup>
- 5. Respondent appealed the Order Denying Petition for Judicial Review to the Nevada Supreme Court, and the appeal was docketed in the Supreme Court on August 22, 2022, in Supreme Court Docket No. 85197. The case was referred to the Nevada Supreme Court's Settlement Program, see NRAP 16, and the parties participated in mediation pursuant thereto, which resulted in this Agreement.
- 6. Respondent has had the opportunity to consult with competent counsel concerning the nature and significance of all proceedings in this matter, including his rights as set out by the United States Constitution, the Nevada Constitution, the Medical Practice Act, the Nevada Open Meeting Law (OML), which is contained in NRS Chapter 241, and the Nevada Administrative Procedure Act (APA), which is contained in NRS Chapter 233B.
- 7. Respondent understands that, under the Board's charge to protect the public by regulating the practice of medicine, the Board may take disciplinary action against Respondent's license, including license probation, license suspension, license revocation and imposition of administrative fines, as well as any other reasonable requirement or limitation, if the Board concludes that Respondent violated one or more provisions of the Medical Practice Act.
- 8. Respondent understands and agrees that this Agreement, by and between Respondent and the IC, is not with the Board, and that the IC will present this Agreement to the

<sup>&</sup>lt;sup>2</sup> The Second Judicial District Court had previously dismissed Respondent's Petition for Judicial Review of the Findings of Fact, Conclusions of Law, and Order, and Respondent appealed that decision to the Nevada Supreme Court in Supreme Court Docket No. 80038. That appeal was dismissed, and the matter was remanded to the District Court for further proceedings, which eventually resulted in the July 8, 2022, Order Denying Petition for Judicial Review.

Board for consideration in open session at a duly noticed and scheduled meeting. Respondent understands that the IC shall advocate for the Board's approval of this Agreement, but that the Board has the right to decide in its own discretion whether to approve this Agreement. Respondent further understands and agrees that if the Board approves this Agreement, the terms and conditions enumerated below shall be binding and enforceable upon him and the Board.

### B. TERMS & CONDITIONS

NOW, THEREFORE, in order to resolve the matters addressed herein, i.e., all matters arising from the Complaint, Respondent and the IC hereby agree to the following terms and conditions:

- Jurisdiction. Respondent is, and at all times relevant to the Complaint has been, a
  physician licensed to practice medicine in Nevada subject to the jurisdiction of the Board as set
  forth in the Medical Practice Act.
- Respondent acknowledges he is represented by counsel, and wishes to resolve the matters addressed herein with said counsel. Respondent agrees that if representation by counsel in this matter materially changes prior to entering into this Agreement and for the duration of this Agreement, that counsel for the IC will be timely notified of the material change. Respondent agrees that he knowingly, willingly and intelligently enters into this Agreement after deciding to have a full consultation with and upon the advice of legal counsel.
- 3. Waiver of Rights. In connection with this Agreement, and the associated terms and conditions, Respondent knowingly, willingly and intelligently waives all further rights in connection with this administrative matter. Respondent hereby knowingly, willingly and intelligently waives all further rights arising under the United States Constitution, the Nevada Constitution, the Medical Practice Act, the OML, the APA, and any other legal rights that may be available to him, including proceeding with the appeal in Docket No. 85197. Respondent agrees to settle and resolve the allegations of the Complaint as set out by this Agreement, without any further proceedings and without the right to further judicial review.

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- 4. <u>Acknowledgement of Reasonable Basis to Proceed</u>. The parties are agreeing to resolve this matter to avoid the costs and other burdens of additional proceedings. For the purposes of resolving the matter and for no other purpose, Respondent waives the pursuit of additional proceedings in order to effectuate this Agreement.
- 5. <u>Consent to Entry of Order</u>. In order to resolve this matter, Respondent hereby agrees that the Board may issue an order finding that Respondent engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act. Accordingly, the following terms and conditions are hereby agreed upon:
- a. For purposes of this Settlement Agreement, Respondent admits to one (1) violation of NRS 630.3062(1) Failure to Maintain Timely, Legible, Accurate and Complete Medical Records.
- b. Respondent shall pay a fine of five thousand dollars (\$5,000). Respondent shall pay the aforementioned fine by way of an initial payment of four hundred thirteen dollars (\$413) due on or before April 1, 2023, and then eleven (11) equal payments of four hundred seventeen dollars (\$417) due on or before the first day of each month thereafter, with the final payment due on or before March 1, 2024.
- c. Respondent shall pay a portion of the costs and expenses incurred in the investigation and prosecution of the above-referenced matter in the amount of ten thousand dollars (\$10,000). Respondent shall pay the aforementioned costs and expenses by way of an initial payment of eight hundred thirty-one dollars and fifty cents (\$831.50) due on or before April 1, 2023, and then eleven (11) equal payments of eight hundred thirty-three dollars and fifty cents (\$833.50) due on or before the first day of each month thereafter, with the final payment due on or before March 1, 2024.
- d. Within twelve (12) months of the Board's acceptance, adoption and approval of this Agreement, Respondent shall perform eighty (80) hours of community service, without compensation, pursuant to the details set forth in the Community Service Details. See Attachment 1.

e. This Agreement shall be reported to the appropriate entities and parties as required by law, including, but not limited to, the National Practitioner Data Bank.

- f. Respondent shall receive a Public Letter of Reprimand.
- g. The remaining charges in the Complaint and any other claims arising from the Board's corresponding investigative case file(s), shall be dismissed with prejudice.
- 6. Release from Liability. In execution of this Agreement, Respondent understands and agrees that the State of Nevada, the Board, and each of its members, staff, counsel, investigators, experts, peer reviewers, committees, panels, hearing officers, consultants and agents are immune from civil liability for any decision or action taken in good faith in response to information acquired by the Board. NRS 630.364(2)(a). Respondent agrees to release the State of Nevada, the Board, and each of its members, staff, counsel, investigators, experts, peer reviewers, committees, panels, hearing officers, consultants and agents from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have or claim to have, against any or all of the persons, government agencies or entities named in this paragraph arising out of, or by reason of, this investigation, this Agreement or the administration of the case referenced herein.
- 7. Procedure for Adoption of Agreement. The IC and counsel for the IC shall recommend approval and adoption of the terms and conditions of this Agreement by the Board in resolution of this Complaint. In the course of seeking Board acceptance, approval and adoption of this Agreement, counsel for the IC may communicate directly with the Board staff and the adjudicating members of the Board.

Respondent acknowledges that such contacts and communications may be made or conducted ex-parté, without notice or opportunity to be heard on his part until the public Board meeting where this Agreement is discussed, and that such contacts and communications may include, but may not be limited to, matters concerning this Agreement, the Complaint and all subsequent proceedings arising therefrom, any and all information of every nature whatsoever related to this matter. The IC and its counsel agree that Respondent and/or Counsel for the

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27 28 Respondent may appear at the Board meeting where this Agreement is discussed and, if requested, respond to any questions that may be addressed to the IC or the IC's counsel.

- Effect of Acceptance of Agreement by Board. In the event the Board accepts, approves and adopts this Agreement, the Board shall issue a final order, making this Agreement an order of the Board, and, pending full compliance with the terms herein, the case shall be closed and all remaining claims arising out of the Complaint shall be dismissed with prejudice.
- Effect of Rejection of Agreement by Board. In the event the Board does not 9. accept, approve and adopt this Agreement, this Agreement shall be null, void and of no force and effect except as to the following agreement regarding adjudications: (1) Respondent agrees that, notwithstanding rejection of this Agreement by the Board, nothing contained in this Agreement and nothing that occurs pursuant to efforts of the IC to seek the Board's acceptance of this Agreement shall disqualify any member of the adjudicating panel of the Board from considering this Complaint and from participating in disciplinary proceedings against Respondent, including adjudication of this case; and (2) Respondent further agrees that he shall not seek to disqualify any such member absent evidence of bad faith.
- Binding Effect. If approved by the Board, Respondent understands that this 10. Agreement is a binding and enforceable contract upon Respondent and the Board.
- Forum Selection Clause. The parties agree that in the event either party is 11. required to seek enforcement of this Agreement in district court, the party's consent to such jurisdiction and agree that exclusive jurisdiction shall be in the Second Judicial District Court, State of Nevada, Washoe County.
- Attorneys' Fees and Costs. The parties agree that in the event an action is 12. commenced in district court to enforce any provision of this Agreement, the prevailing party shall be entitled to recover reasonable attorneys' fees and costs.
- Failure to Comply with Terms. Should Respondent fail to comply with any term 13. or condition of this Agreement once the Agreement has been accepted, approved and adopted by the Board, the IC shall be authorized to immediately suspend Respondent's license to practice medicine in Nevada pending an Order to Show Cause Hearing, which will be duly noticed.

1	Failure to comply with the terms of this Agree	ment, including failure to pay any fines, costs,
2	expenses or fees owed to the Board, is a failure t	
	result in additional disciplinary action being t	
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4	Further, Respondent's failure to remit payment	
5	condition of this Agreement may subject Respond	lent to civil collection efforts.
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7	DATED this 104hday of February 2023.	DATED this day of, 2023.
8	INVESTIGATIVE COMMITTEE OF THE	PLATT LAW GROUP
9	NEVADA STATE BOARD OF MEDICAL EXAMINERS	
10		
11	By: Brande Moonlyhan	Ву:
12	SARAH A. BRADLEY  Deputy Executive Director	COLLEEN PLATT, ESQ. 11025 Bondshire Drive
13	BRANDEE MOONEYHAN	Reno, NV 89511
	Deputy General Counsel	Tel: (775) 848-2810 Email: cplatt@plattlawgroupreno.com
14	9600 Gateway Drive Reno, NV 89521	Attorney for Respondent
15	Tel: (775) 688-2559	
16	Email: mooncyhanb@medboard.nv.gov  Attorneys for the Investigative Committee	
17		
18	DATED this day of, 2023.	
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20	By: JAMES B. GABORY, M.D.,	
21	Nevada License No. 7601	
22	Respondent	
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8	INVESTIGATIVE COMMITTEE OF THE PLATT LAW GROUP
9	NEVADA STATE BOARD OF MEDICAL EXAMINERS
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11	By:
	By: (cleen that
	SARAH A. BRADLEY COLLEEN PLATT, ESQ.
12	Deputy Executive Director 11025 Bondshire Drive  BRANDEE MOONEYHAN Reno, NV 89511
13	BRANDEE MOONEYHAN Reno, NV 89511
14	Deputy General Counsel Tel: (775) 848-2810 9600 Gateway Drive Email: <a href="mailto:cplatt@plattlawgroupreno.com">cplatt@plattlawgroupreno.com</a> Reno, NV 89521
17	9600 Gateway Drive Email: <u>cplatt@plattlawgroupreno.com</u> Reno, NV 89521  Attorney for Respondent
15	Tel: (775) 688-2559
	Email: mooneyhanb@mcdboard.nv.gov
16	Attorneys for the Investigative Committee
17	
18	DATED this 9 day of February 2023.
19	
20	By: Vans Colley C
	JAMES B. GABORY, M.D.,
21	Nevada License No. 7601 Respondent
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## ATTACHMENT 1

## ATTACHMENT 1

## NEVADA STATE BOARD OF MEDICAL EXAMINERS COMMUNITY SERVICE DETAILS

James B. Gabroy, M.D. NSBME Case No. 15-10986-1 Nevada Supreme Court Case No. 85197

Required Hours: 80

## I. ACCEPTABLE FORMS OF COMMUNITY SERVICE

Below is a nonexhaustive but representative list of the types of community service that may be performed by Dr. Gabroy to satisfy the eighty (80) hours of community service required by the parties' Settlement Agreement in Case No. 15-10986-1, scheduled to be considered for acceptance, adoption, and approval by the Board on March 3, 2023:

- 1. Providing clinical patient care to indigent patients in the State of Nevada, at no cost to the patients;
- 2. Providing second opinions and assistance, without compensation, to other health care providers providing free services or services at reduced fees;
- 3. Assisting hospitals in rural areas of the State of Nevada (i.e., counties other than Clark, Washoe, or Carson City) to develop programs regarding internal medicine or other area of expertise related to health care;
- 4. Providing free lectures to physician or other health care providers regarding internal medicine or other area of expertise related to health care;
- 5. Providing free lectures to nonprofit citizen groups, such as senior centers, charitable groups, or religious organizations, regarding internal medicine or other area of expertise related to health care;
- 6. Attending local health fairs, public television or radio shows, telethons, or other similar gatherings, events, or shows and providing free lectures, discussions, question and answer sessions, or facilitating discussions on issues related to internal medicine or other areas of expertise related to health care; or
  - 7. Volunteering for a nonprofit of his choice.

Community Service Details James B. Gabroy, M.D. NSBME Case No. 15-10986-1 Page 2 of 2

### II. COORDINATION WITH BOARD COMPLIANCE OFFICER

Dr. Gabroy understands that he may contact Johnna LaRue, Compliance Officer for the Nevada Board of Medical Examiners, with any questions about compliance with this agreement. Ms. Larue may be contacted by email at: jlarue@medboard.nv.gov or by telephone at: (775) 324-9377.

Dr. Gabroy agrees to submit written notification, at least five (5) days prior to any contemplated service, via email, to Ms. LaRue describing the service he contemplates completing in satisfaction of this agreement.

Within three (3) days, Ms. LaRue will respond, via email, to such notifications with approval, questions, or concerns pertaining to the proposed community service, to which Dr. Gabroy will promptly reply. If Dr. Gabroy does not receive any such written comments from Ms. LaRue, he may consider the proposed community service approved.

Dr. Gabroy and Ms. LaRue will act in good faith in cooperating to fulfill the requirements set forth in this agreement.

## III. PROOF OF COMPLETION

Proof of completion of community service performed per this agreement shall be submitted in writing (can be scanned and emailed) directly to Ms. LaRue. Such written proof shall include a description of the service performed; the date the service was performed; the hours of service performed; the name of the organization, group, or individual that received the community service; and the signature and contact information of a representative of the recipient.

# OFFICE OF THE GENERAL COUNSEL

## Nevada State Board of Medical Examiners 9600 Gateway Drive Reno, Nevada 895521 (775) 688-2559

## **ORDER**

IT IS HEREBY ORDERED that, the foregoing Settlement Agreement (Case No. 15-10986-1) was approved and accepted by the Nevada State Board of Medical Examiners on the 3rd day of March, 2023.

DATED this 3rd day of March, 2023.

NEVADA STATE BOARD OF MEDICAL EXAMINERS

By:

AURY NAGY, M.D.

**Board President**