BEFORE THE BOARD OF MEDICAL EXAMINERS
OF THE STATE OF NEVADA

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In The Matter of Charges and

Complaint Against

MARYANNE PHILLIPS, M.D.,

Respondent.

Case No. 11-10032-1

FILED

JUN 9 2012

NEVADA STATE BOARD OF
MEDICAL EXAMINERS

By: 

SETTLEMENT, WAIVER AND CONSENT AGREEMENT

THIS AGREEMENT is entered into by and between the Investigative Committee of the Nevada State Board of Medical Examiners, composed at the time of filing of the Complaint of Charles N. Held, M.D, Theodore B. Berndt, M.D., and Ms. Valerie Clark, BSN, RHU, LUTCF, by and through counsel, Lyn E. Beggs, Esq., and Maryanne Phillips, M.D. (Respondent), by and through her counsel, Jess Marchese, Esq., as follows:

WHEREAS, on January 28, 2011, the Investigative Committee filed a Complaint in the above-referenced matter charging Respondent with engaging in conduct that is grounds for discipline pursuant to the Medical Practice Act (Nevada Revised Statutes (NRS) Chapter 630) to wit: one count of engaging in conduct intended to deceive as set forth in count I of the Complaint, a violation of NRS 630.306(2)(a); one count of a violation of a regulation adopted by the State Board of Pharmacy, as set forth in count II of the Complaint, a violation of NRS 630.306(2)(c); one count of prescribing a controlled substance except as authorized by law, as set forth in count III of the Complaint, a violation of NRS 630.306(3); and one count of failure to maintain timely, legible, accurate and complete medical records related to the diagnosis, treatment and care of patient, as set forth in count IV of the Complaint, a violation of NRS 630.3062(1); and

WHEREAS, Respondent has received and reviewed a copy of the Complaint, understands it, has consulted with competent counsel as noted above concerning the nature and significance of the Complaint and is fully advised concerning her rights and defenses to the Complaint, as well as
the possible sanctions that may be imposed if the Nevada State Board of Medical Examiners (the Board) finds and concludes that she has engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act and after due consideration concedes that she engaged in conduct which is in violation of the Medical Practice Act, as set forth in counts I and IV, and

WHEREAS, Respondent understands and agrees that this Agreement is entered into by and between herself and the Board’s Investigative Committee, and not with the Board, but that the Investigative Committee will present this Agreement to the Board for consideration in open session at a Board meeting, appropriately noticed, and that the Investigative Committee shall advocate approval of this Agreement by the Board, but that the Board has the right to decide in its own discretion whether or not to approve this Agreement; and

WHEREAS, Respondent and the Investigative Committee each understand and agree that if the Board approves the terms, covenants and conditions of this Agreement, then the terms, covenants and conditions enumerated below shall be binding and enforceable upon Respondent and the Board’s Investigative Committee; and

NOW THEREFORE, in order to resolve the above-captioned case and charges brought against Respondent by the Board’s Investigative Committee in said matter, Respondent and the Investigative Committee hereby agree to the following terms, covenants and conditions:

1. **Consent to Entry of Order.** In order to resolve the matter of these disciplinary proceedings pending against her without any further costs and expense of providing a defense to the Complaint or to any amended complaints, Respondent hereby agrees a stipulated resolution in this matter is fair and appropriate and that an order may be entered herein by the Board finding that Respondent engaged in conduct that is grounds for discipline pursuant to the Medical Practice Act to wit: engaging in conduct intended to deceive, as set forth in count I of the Complaint, a violation of NRS 630.306(2)(a), and failure to maintain timely, legible, accurate and complete medical records related to the diagnosis, treatment and care of patient, as set forth in count IV of the Complaint, a violation of NRS 630.3062(1); and ordering that Respondent’s license to practice medicine be revoked. Said revocation shall be stayed, and Respondent shall be placed on thirty-six (36) months probation pursuant to the following terms and conditions:
a.) Respondent shall be issued a public letter of reprimand;

b.) Respondent must comply with all state and federal laws regarding the prescribing, administration, and dispensing of dangerous drugs and controlled substances;

c.) Respondent shall be subject to random reviews of her patient records and acknowledges that her prescribing profile maintained through the Nevada State Board of Pharmacy shall be monitored on a regular basis during the period of probation;

d.) Respondent shall pay a fine of $5,000 for counts I and IV, for a total of $10,000;

e.) Respondent shall be responsible for the costs incurred in monitoring her compliance with the terms of this Agreement and shall reimburse said expenses within thirty (30) days of the date of any invoices for said costs;

f.) Respondent shall reimburse the Board the reasonable costs and expenses incurred in the investigation and prosecution of this case, the current amount being $5,847.38, not including any costs that may be necessary to finalize this Agreement;

g.) The fine and costs shall be remitted separately to the Nevada State Board of Medical Examiners within one-hundred-eighty (180) days of the acceptance of this Agreement by the Board; Respondent may arrange a payment schedule with the Compliance Officer after acceptance of this Agreement by the Board.

Furthermore, counts II and III of the Complaint shall be dismissed. This Agreement shall be reported to the appropriate entities and parties as required by law, including, but not limited to, the National Practitioner Data Bank.

2. **Jurisdiction.** Respondent is, and at all times mentioned in the Complaint filed in the above-captioned matter was, a physician licensed to practice medicine in the state of Nevada subject to the jurisdiction of the Board to hear and adjudicate charges of violations of the Medical Practice Act (NRS 630), and to impose sanctions as provided by the Act.

3. **Waiver of Rights.** Respondent covenants and agrees that she enters into this Agreement knowingly, willingly, intelligently and after consultation with counsel. In connection with this Agreement, and the terms, covenants and conditions contained herein, Respondent knowingly, willingly and intelligently waives all rights arising under or pursuant to the United
States Constitution, the Constitution of the state of Nevada, NRS Chapter 630 and NRS Chapter 233B that may be available to Respondent or that may apply to Respondent in connection with the proceeding regarding the Complaint filed herein, the defense of said Complaint and the adjudication of the charges in said Complaint, and Respondent further agrees that the matter of the disciplinary action commenced by the filing of the Complaint herein may be settled and resolved in accordance with this Agreement without a hearing or any further proceeding, and without the right to judicial review. In the event this Agreement is not approved by the Board, this Agreement shall have no force and effect and Respondent shall have all rights arising under or pursuant to the United States Constitution, the Constitution of the state of Nevada, NRS Chapter 630 and NRS Chapter 233B that may be available to Respondent or that may apply to Respondent in connection with the proceeding on the Complaint filed herein.

4. **Acknowledgement of Reasonable Basis to Proceed.** Respondent covenants and agrees that the Board’s Investigative Committee has a reasonable basis to believe that Respondent violated one or more provisions of the Medical Practice Act.

5. **Procedure for Adoption of Agreement.** It is expressly understood that this Agreement will only become effective if the Board approves the recommendation of the Investigative Committee for acceptance. The Investigative Committee and counsel for the Investigative Committee shall recommend approval of the terms, covenants and conditions contained herein by the Board in resolution of the disciplinary proceedings pending herein against Respondent pursuant to the Complaint. In the course of seeking Board approval of this Agreement, counsel for the Investigative Committee may communicate directly with Board staff and members of the panel of the Board who would adjudicate this case if it were to go to hearing. Respondent covenants and agrees that such contacts and communication may be made or conducted ex parte, without notice or opportunity to be heard on her part or on the part of her counsel until the public Board meeting where this Agreement is discussed, and that such contacts and communications may include, but not be limited to, matters concerning this Agreement, the Complaint and the allegations therein, any and all evidence that may exist in support of the Complaint, and any and all information of every nature whatsoever related to the
Complaint against Respondent. The Investigative Committee and its counsel agree that Respondent and her counsel may appear at the Board meeting where this Agreement is discussed in order to respond to any and all questions that may be addressed to the Investigative Committee or its counsel at such meeting.

6. **Effect of Acceptance of Agreement by Board.** In the event the Board approves the terms, covenants and conditions set out in this Agreement, counsel for the Investigative Committee will cause to be entered herein the Board’s Order approving this Settlement, Waiver and Consent Agreement, ordering full compliance with the terms herein and ordering that this case be closed, subject to the provisions in Paragraph 1.

7. **Effect of Rejection of Agreement by Board.** In the event the Board does not approve the terms, covenants and conditions set out in this Agreement, this Agreement shall be null, void and of no further force and effect except as to the following covenant and agreement regarding disqualification of adjudicating Board panel members. Respondent agrees that, notwithstanding rejection of this Agreement by the Board, nothing contained herein and nothing that occurs pursuant to efforts of the Investigative Committee or its counsel to seek acceptance and adoption of this Agreement by the Board shall disqualify any member of the adjudicating panel of the Board from considering the charges against Respondent and participating in the disciplinary proceedings in any role, including adjudication of the case, and Respondent further agrees that she shall not seek to disqualify any such member absent evidence of bad faith.

8. **Release From Liability.** In execution of this Agreement, the Respondent, for herself, her executors, successors and assigns, hereby releases and forever discharges the state of Nevada, the Board, the Nevada Attorney General, and each of their members, agents and employees in their representative capacities, and in their individual capacities absent evidence of bad faith, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known and unknown, in law or equity, that Respondent ever had, now has, may have or claim to have, against any or all of the persons or entities named in this paragraph arising out of or by reason of this investigation, this disciplinary action, this settlement or its administration, in connection with the Complaint. The Investigative
Committee hereby agrees to accept this Agreement in full settlement of all claims related to the
Complaint, with the understanding that the final decision rests with the Board.

9. **Binding Effect.** Respondent covenants and agrees that this Agreement is a
binding and enforceable contract upon Respondent and the Board's Investigative Committee,
which contract may be enforced in a court or tribunal having jurisdiction.

10. **Forum Selection Clause.** Respondent covenants and agrees that in the event
either party is required to seek enforcement of this Agreement in the district court, she consents
to such jurisdiction, and covenants and agrees that exclusive jurisdiction shall be in the Second
Judicial District Court of the State of Nevada in and for the County of Washoe.

11. **Attorneys' Fees and Costs.** Respondent covenants and agrees that in the event
an action is commenced in the district court to enforce any provision of this Agreement, the
prevailing party shall be entitled to recover reasonable costs and attorneys' fees.

12. **Failure to Comply With Terms.** In the event the Board enters its Order
approving this Agreement, should Respondent fail to comply with the terms recited herein, the
Board would then have grounds, after notice and a hearing, to take disciplinary action against
Respondent in addition to that included herein for the subject's violation of an Order of the
Board in accordance with NRS 630.3065(2)(a). Moreover, the failure of Respondent to
reimburse the Board for monies agreed to be paid as a condition of settlement may subject
Respondent to civil collection efforts.

Dated this 28th day of April, 2012. Dated this 7th day of April, 2012.

By: Lyn E. Beggs, Esq. By: Jess Marchese, Esq.
Attorney for the Investigative Committee Attorney for Respondent

UNDERSTOOD AND AGREED:

Maryanne Phillips, M.D., Respondent

Dated this 16th day of April, 2012.
IT IS HEREBY ORDERED that the foregoing Settlement, Waiver and Consent Agreement is approved and accepted by the Nevada State Board of Medical Examiners on the 8th day of June 2012, with the final total amount of costs due of $5,847.38.

Benjamin J. Rodriguez, M.D., President
NEVADA STATE BOARD OF MEDICAL EXAMINERS